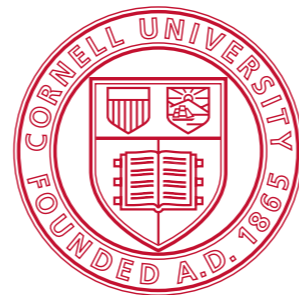


Structural Expectations in Chinese Relative Clause Comprehension

Zhong Chen, Kyle Grove, John Hale
Department of Linguistics
Cornell University



Outline

Introduction

Chinese RC

Modeling

Conclusion

Relative clause comprehension

Subject relative clause (SR)

The **senator**_{*i*} [who **e**_{*i*} attacked the reporter] admitted the error.

Object relative clause (OR)

The **senator**_{*i*} [who the reporter attacked **e**_{*i*}] admitted the error.

Comprehension difficulty: SR << OR

Two major accounts

Memory-based

(Gibson 1998, 2000; Grodner & Gibson 2005)

Experience-based

(Mitchell et al 1995; Hale 2001; Lewis & Vasishth 2005; Levy 2008)

Memory-based approach

Subject Relative clause (SR)

The **senator_i** [who **e_i** attacked the reporter] admitted the error.


Object Relative clause (OR)

The **senator_i** [who the reporter attacked **e_i**] admitted the error.

Memory-based: SR << OR

Memory-based approach

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Object Relative clause (OR)

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Memory-based: SR << OR

Experience-based approach

English Penn Treebank: 86% SR vs 13% OR
(Hale 2001)

German NEGRA: 74% SR vs 26% OR (Skut
et al. 1997)

Experience-based: SR << OR

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Hsiao & Gibson (2003)

SR: [**e_i** yaoqing fuhao de] **guanyuan_i** da-le jizhe

invite tycoon DE official hit reporter

‘The official who invited the tycoon hit the reporter.’

OR: [fuhao yaoqing **e_i** de] **guanyuan_i** da-le jizhe

tycoon invite DE official hit reporter

‘The official who the tycoon invited hit the reporter.’

Memory-based: SR >> OR

Experience-based: SR << OR

Hsiao & Gibson (2003)

SR: [**e_i** yaoqing fuhao de] **guanyuan_i** da-le jizhe
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‘The official who invited the tycoon hit the reporter.’

OR: [fuhao yaoqing **e_i** de] **guanyuan_i** da-le jizhe
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Memory-based: SR >> OR

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SR: [**e_i** yaoqing fuhao de] **guanyuan_i** da-le jizhe
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OR: [fuhao yaoqing **e_i** de] **guanyuan_i** da-le jizhe
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‘The official who the tycoon invited hit the reporter.’

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SR: [**e_i** yaoqing **fuhao** de] **guanyuan**; da-le jizhe
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‘The official who invited the tycoon hit the reporter.’

OR: [fuhao yaoqing **e_i** de] **guanyuan**; da-le jizhe
tycoon invite DE official hit reporter

‘The official who the tycoon invited hit the reporter.’

Memory-based: SR >> OR

Experience-based: SR << OR

Lin & Bever (2006)

- Subject-modifying SR (SR-S)
 - [**e_i** yaoqing fuhao de] **guanyuan_i** da-le jizhe
- Subject-modifying OR (OR-S)
 - [fuhao yaoqing **e_i** de] **guanyuan_i** da-le jizhe

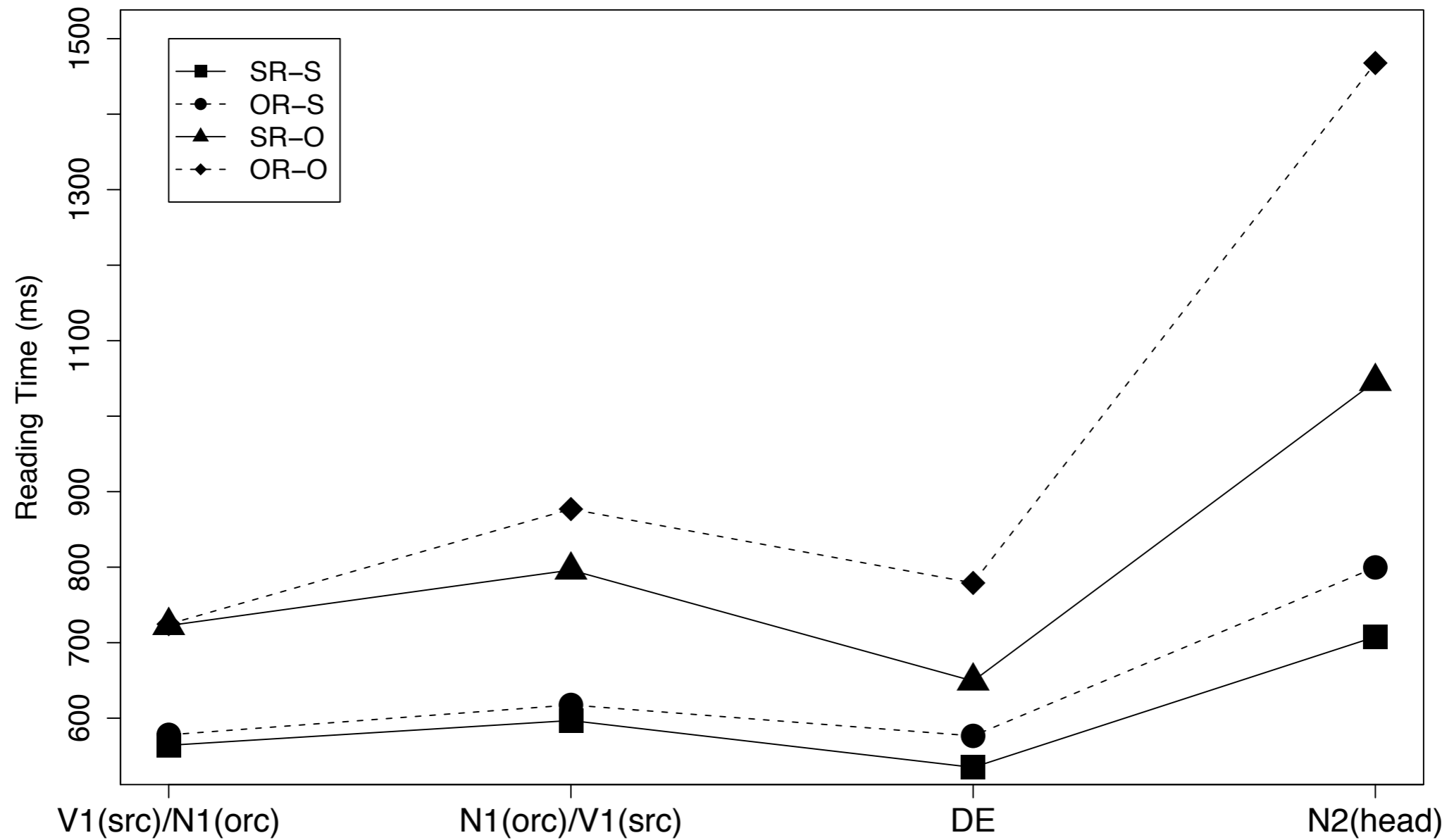
Lin & Bever (2006)

- Subject-modifying SR (SR-S)
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 - jizhe da-le [**e_i** yaoqing fuhao de] **guanyuan_i**

Lin & Bever (2006)

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- Object-modifying SR (SR-O)
 - jizhe da-le [***e_i*** yaoqing fuhao de] **guanyuan_i**
- Object-modifying OR (OR-O)
 - jizhe da-le [fuhao yaoqing ***e_i*** de] **guanyuan_i**

Lin & Bever (2006, 2011)



Lin and Bever (2006)

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- Object-modifying SR (SR-O)
 - jizhe da-le [**e_i** yaoqing fuhao de] **guanyuan_i**;
- Object-modifying OR (OR-O)
 - jizhe da-le [fuhao yaoqing **e_i** de] **guanyuan_i**;

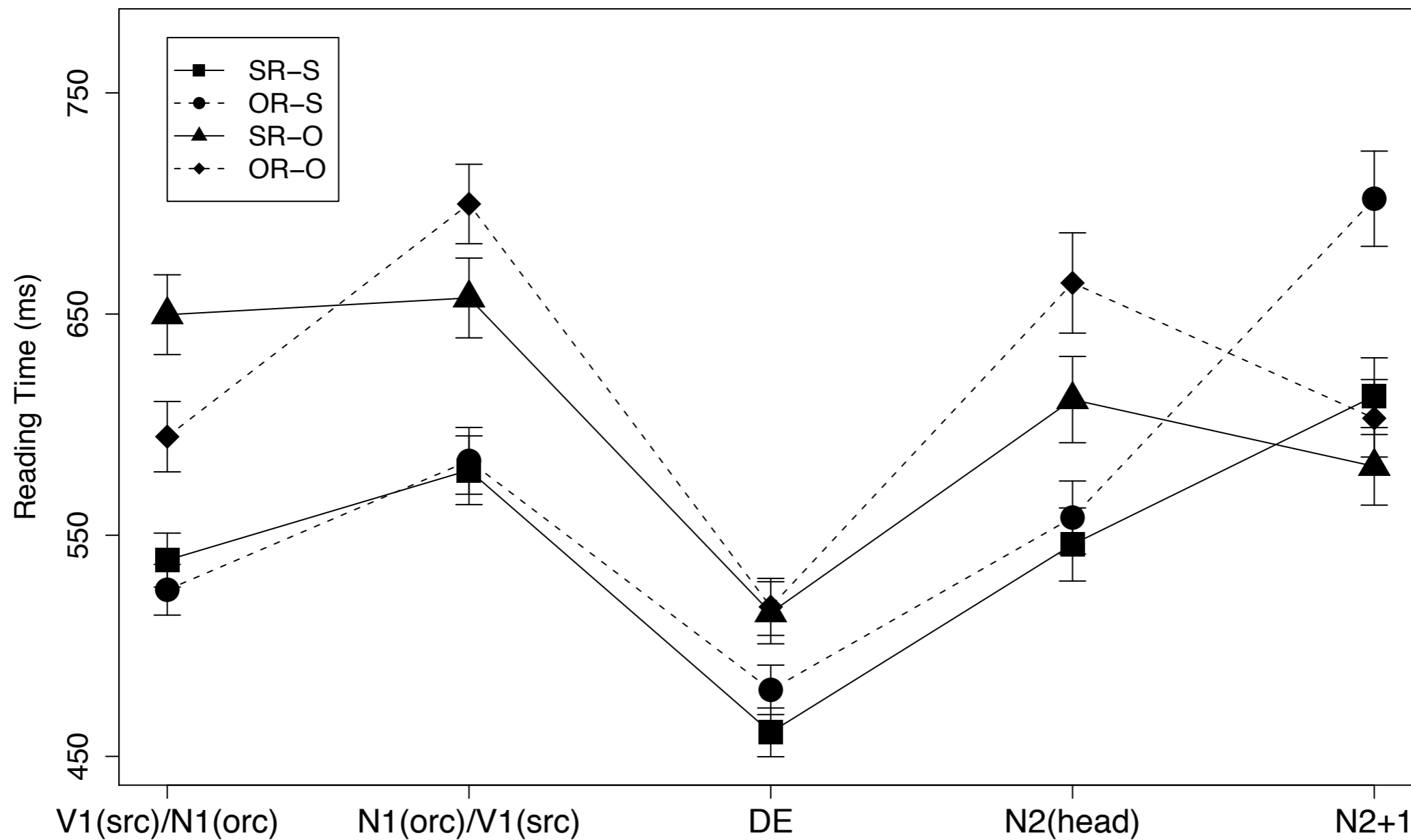
reporter hit tycoon invite

||

Lin and Bever (2006)

- Subject-modifying SR (SR-S)
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Chen, Li, Kuo, Vasishth (submitted)



Outline

- Introduction
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Surprisal (Hale, 2001)

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- is a model of sentence processing difficulty

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Surprisal (Hale, 2001)

- is a model of sentence processing difficulty
- is built on a language model, such as a PCFG
- quantifies the “unlikelihood” (surprise) of integrating a word in the sentence
- is used to predict word-by-word reading times

Surprisal: an example

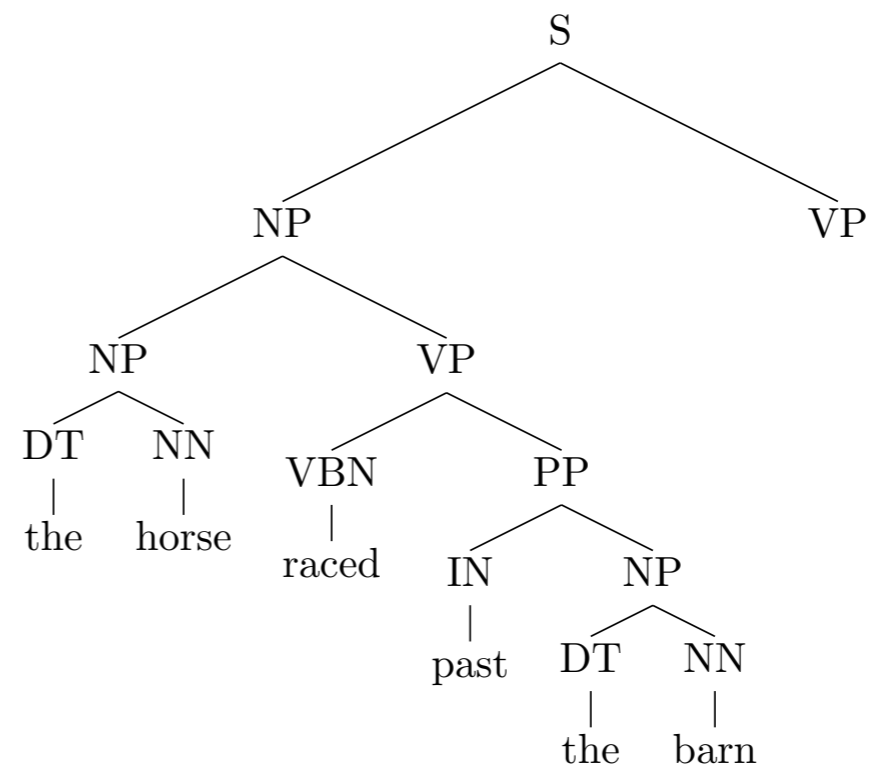
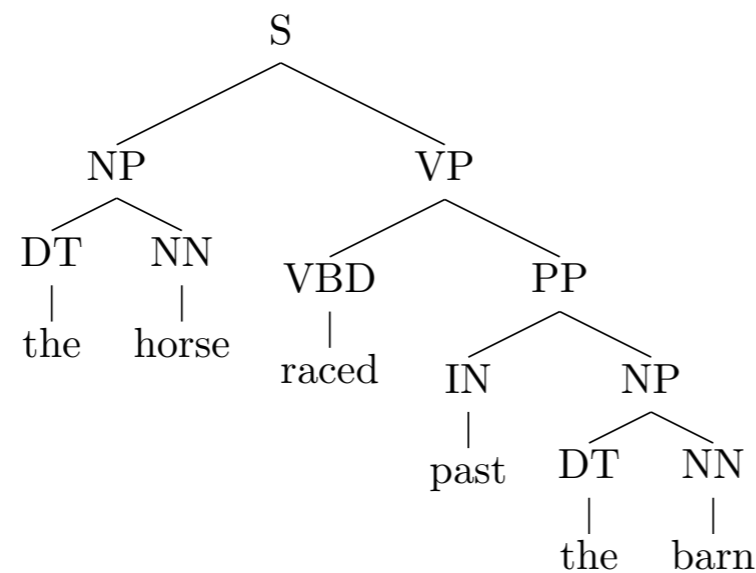
A six-word string:

₀The ₁ horse ₂ raced ₃ past ₄ the ₅ barn ₆

Surprisal: an example

A six-word string:

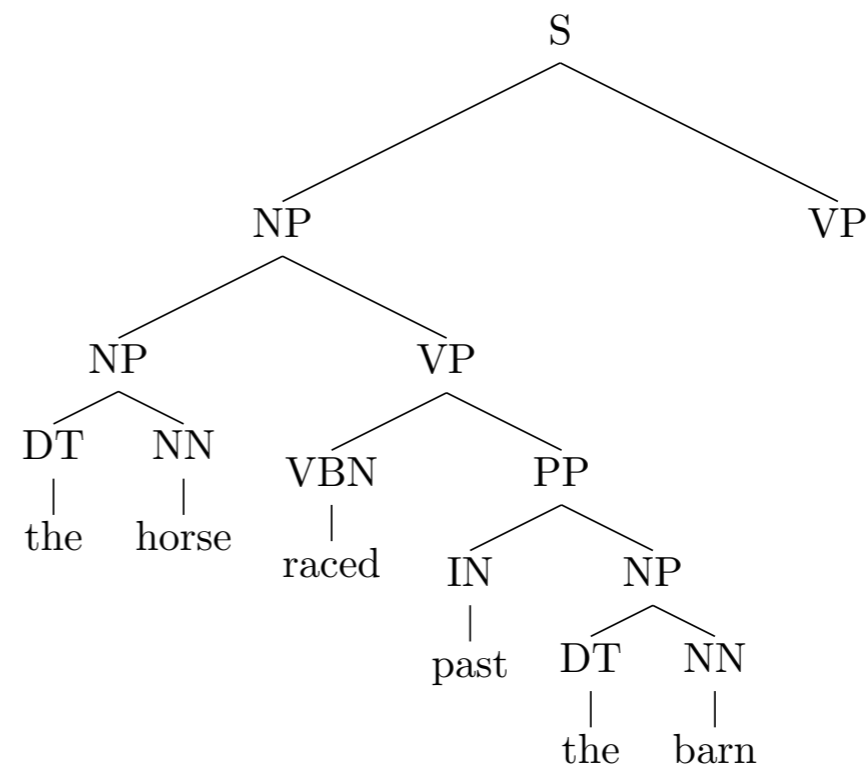
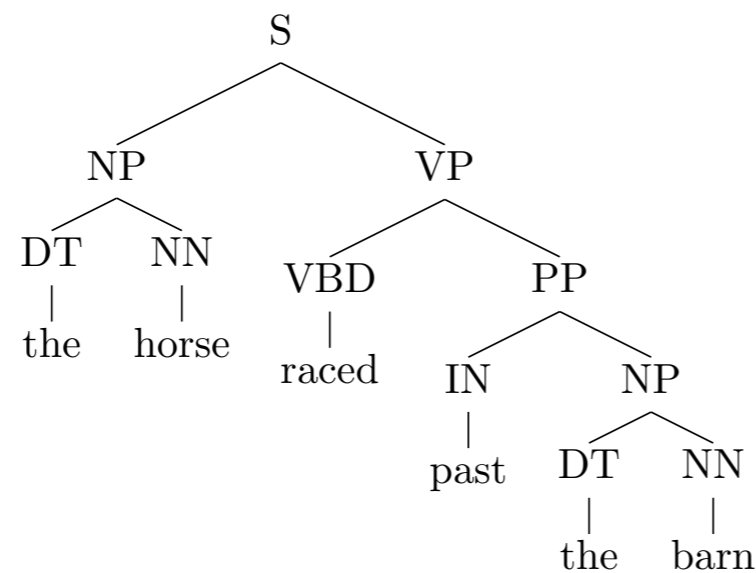
0 The 1 horse 2 raced 3 past 4 the 5 barn 6



Surprisal: an example

A six-word string:

0 The 1 horse 2 raced 3 past 4 the 5 barn 6

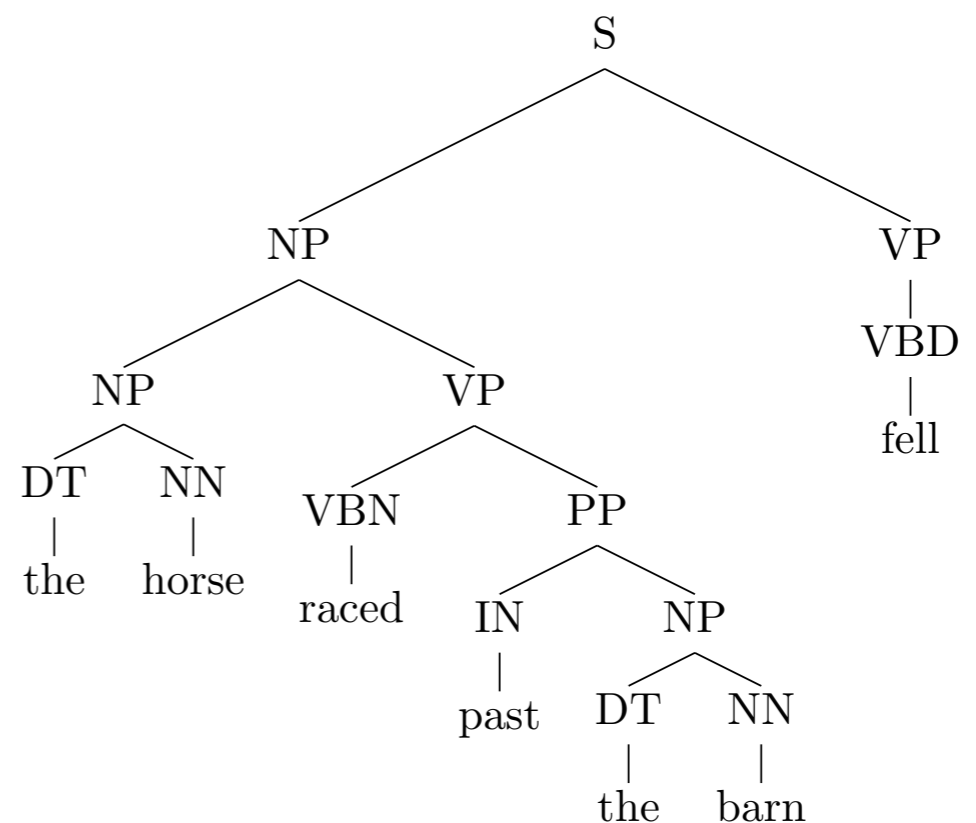
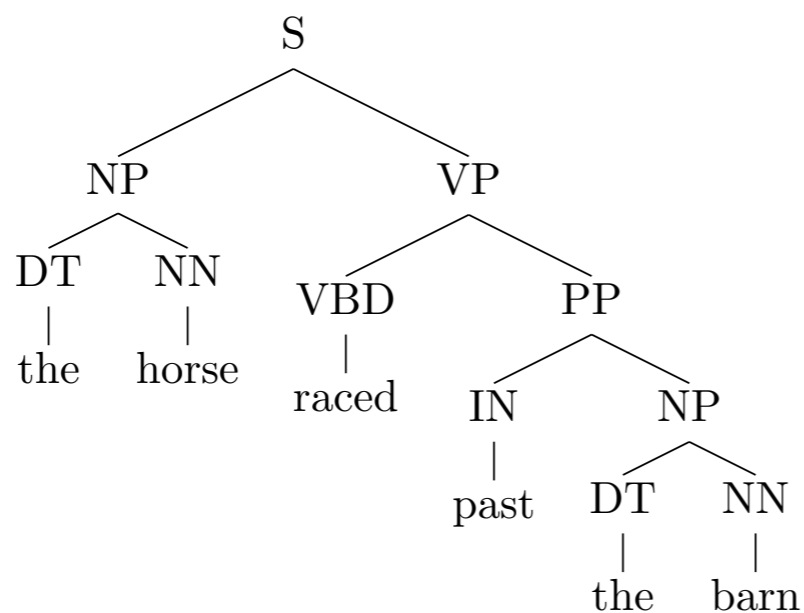


main-clause reading >> reduced RC reading

Surprisal: an example

The next word leads to a structural reanalysis:

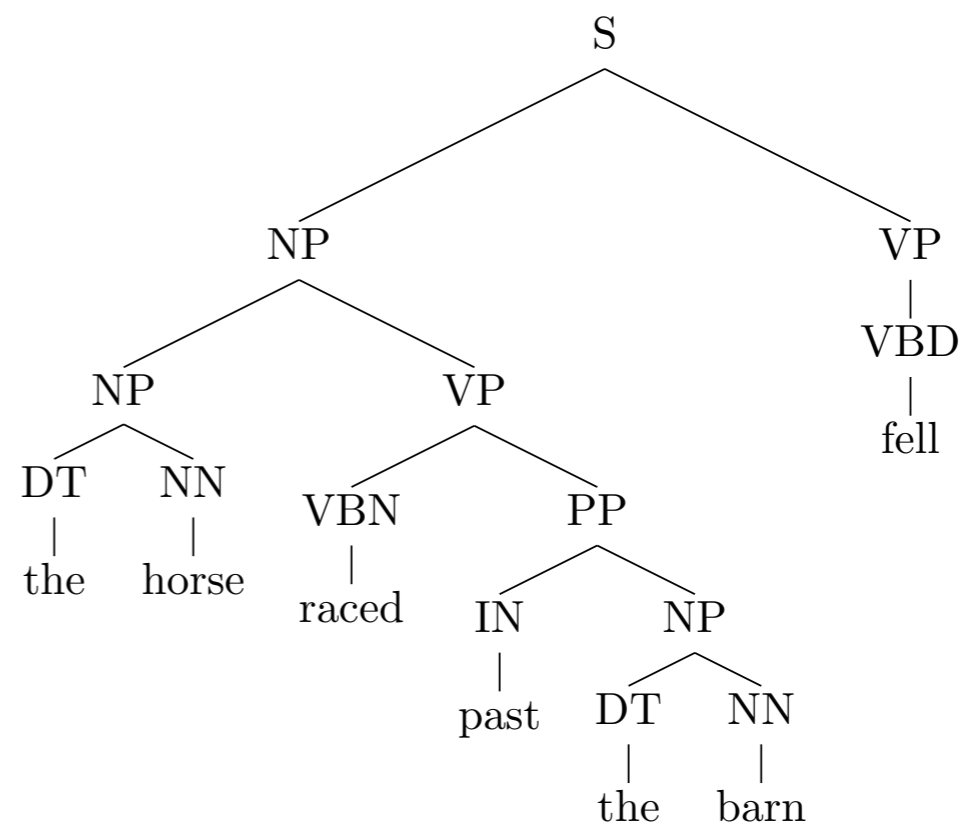
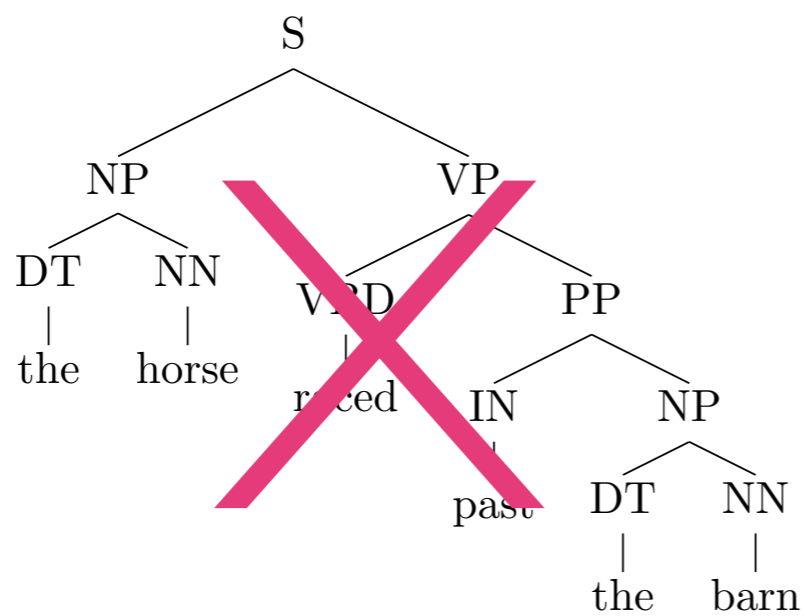
0 The 1 horse 2 raced 3 past 4 the 5 barn 6 **fell** 7



Surprisal: an example

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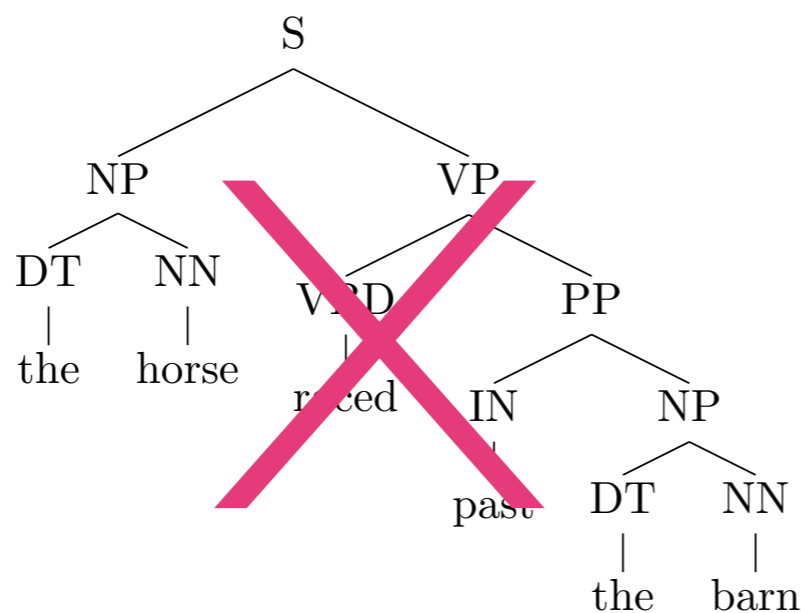


~~main-clause reading~~

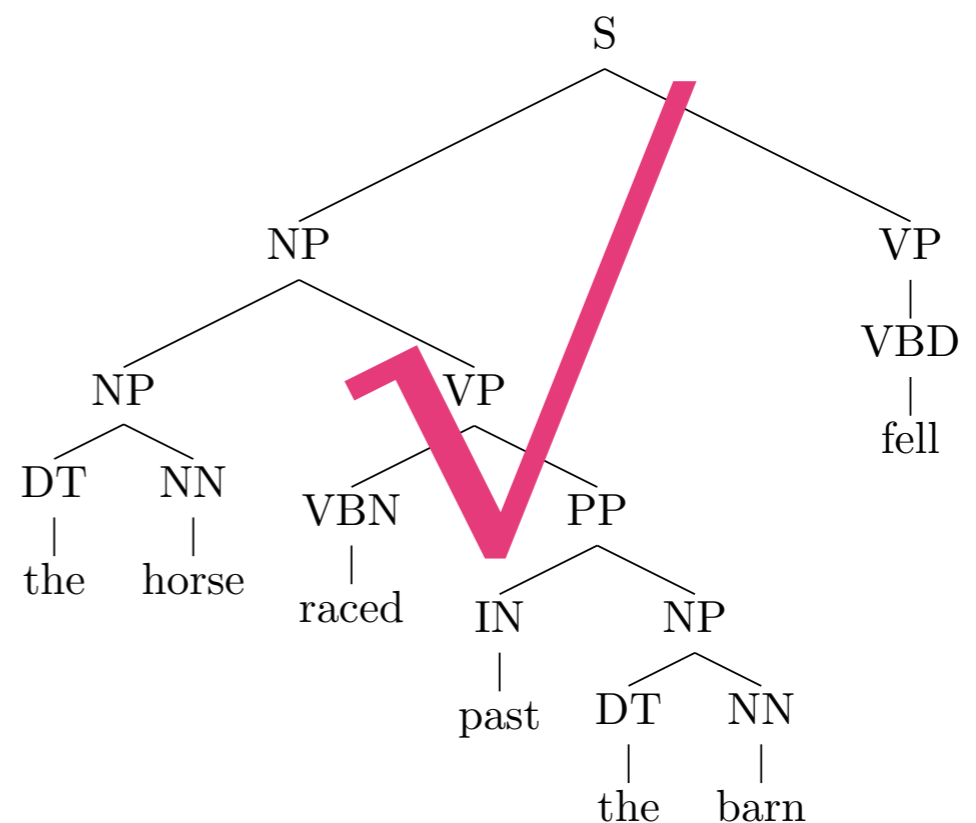
Surprisal: an example

The next word leads to a structural reanalysis:

0 The 1 horse 2 raced 3 past 4 the 5 barn 6 **fell** 7



main-clause reading



reduced-relative reading

Surprisal: the calculation

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$$\textit{surprisal} = \log_2 \left(\frac{\alpha_{(0,6)}}{\alpha_{(0,7)}} \right)$$


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Probabilistic Grammar

Type	Count	0.9261	S	→	NPSBJ	VP			
noun subject	10870	0.0739	S	→	NPRC	VP	0.5	V	→ <i>yaoqing</i>
<i>pro</i> subject	14921	0.5935	NPRC	→	CPSR	NP	0.5	V	→ <i>dale</i>
RC subject	2057	0.4065	NPRC	→	CPOR	NP	0.3333	N	→ <i>fuhao</i>
noun object	14041	0.8615	VP	→	V	NPOBJ	0.3333	N	→ <i>guanyuan</i>
<i>pro</i> object	109	0.1385	VP	→	V	NPRC	0.3334	N	→ <i>jizhe</i>
RC object	2273	1.0	CPSR	→	VP	DEC	1.0	DEC	→ <i>de</i>
SRC	895	1.0	CPOR	→	S/NP	DEC	1.0	NP/NP	→ ϵ
ORC	613	1.0	S/NP	→	NPSBJ	VP/NP	1.0	pro	→ ϵ
		1.0	VP/NP	→	V	NP/NP			
		0.4215	NPSBJ	→	NP				
		0.5785	NPSBJ	→	pro				
		0.9928	NPOBJ	→	NP				
		0.0072	NPOBJ	→	pro				
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Probabilistic Grammar

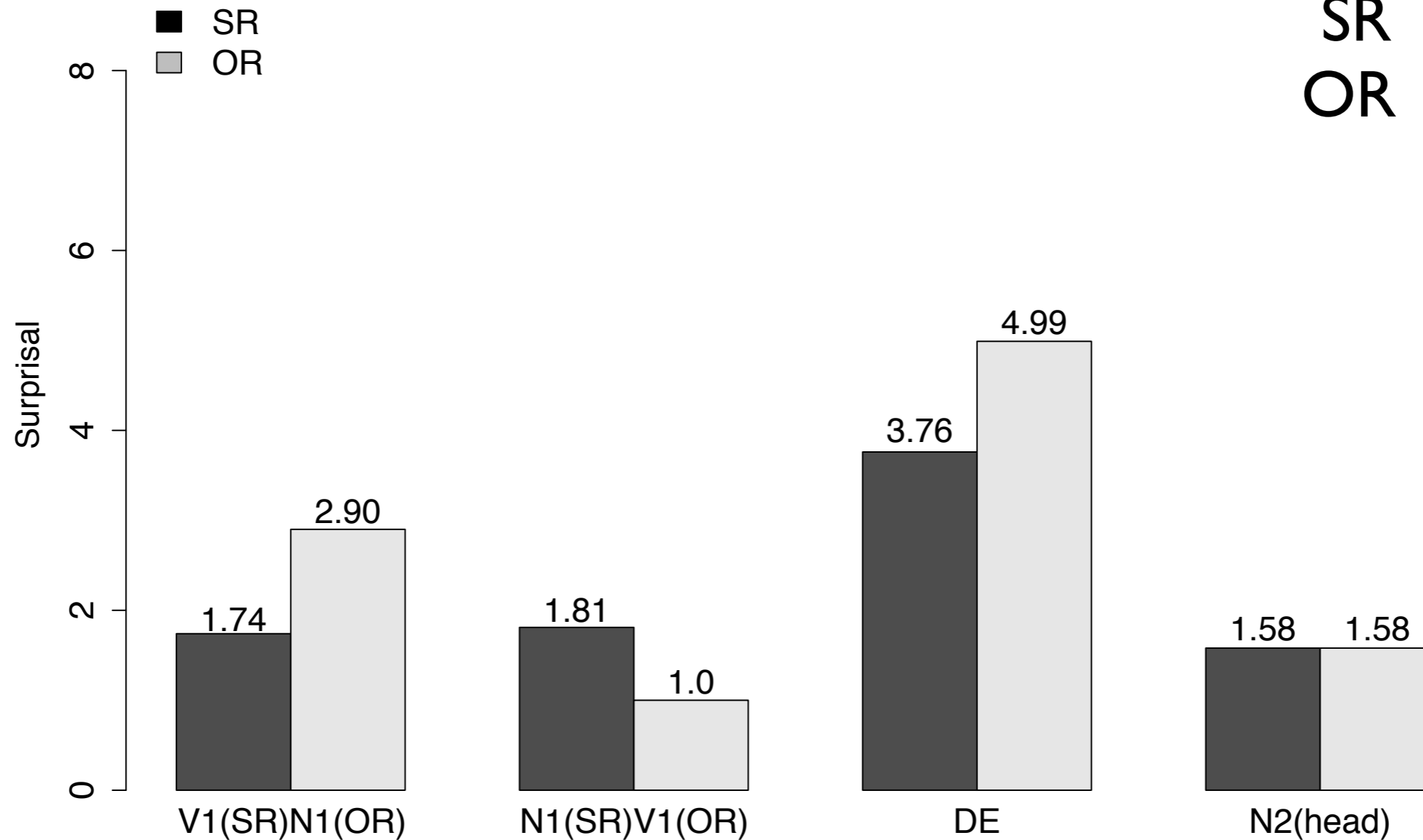
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		1.0	NP	→	N				

Parser

- bottom-up chart-parsing algorithm (Goodman, 1999)
- the incremental parser considers multiple parses at each position.

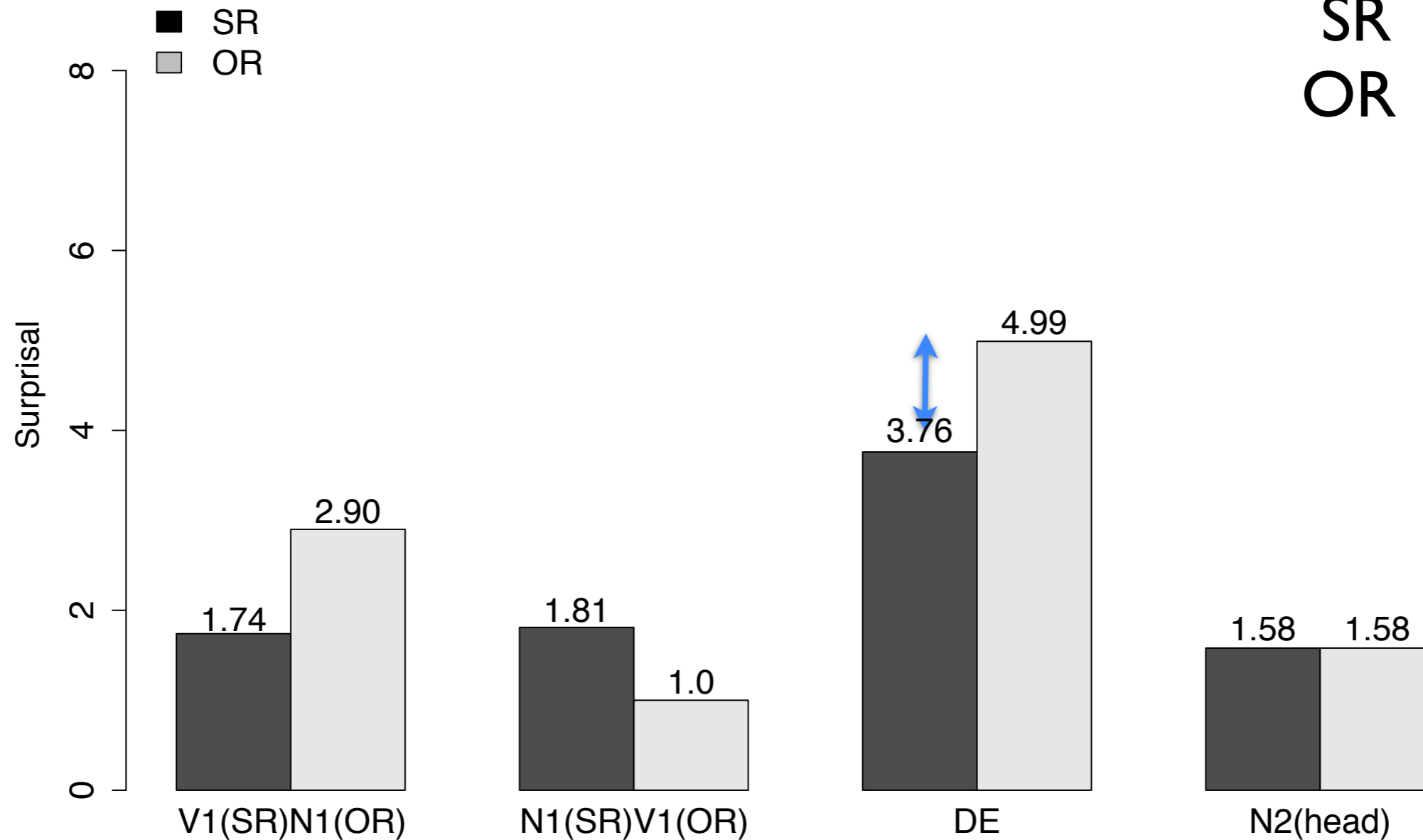
Results: Subj-modifying RC

Total:
SR 8.89
OR 10.47



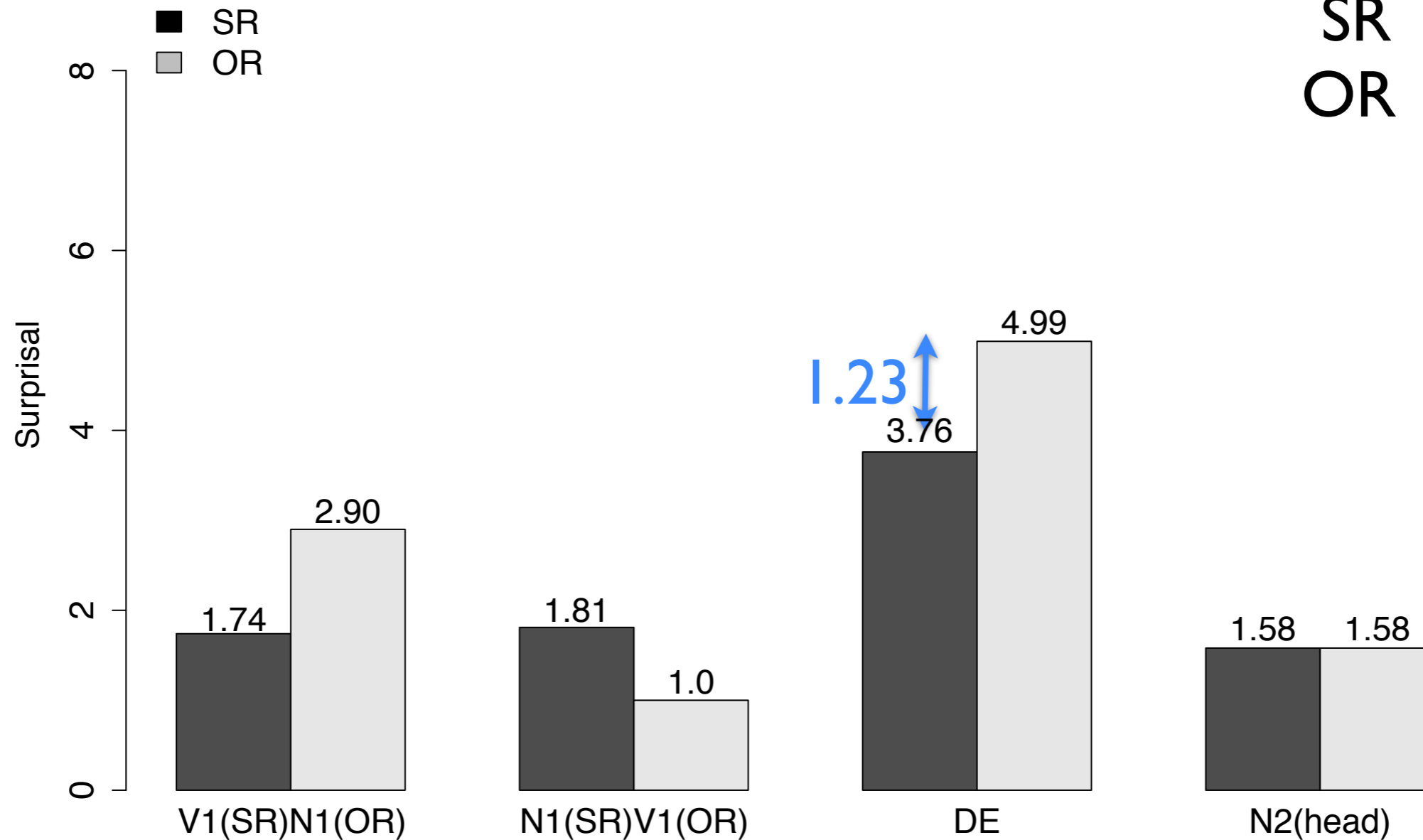
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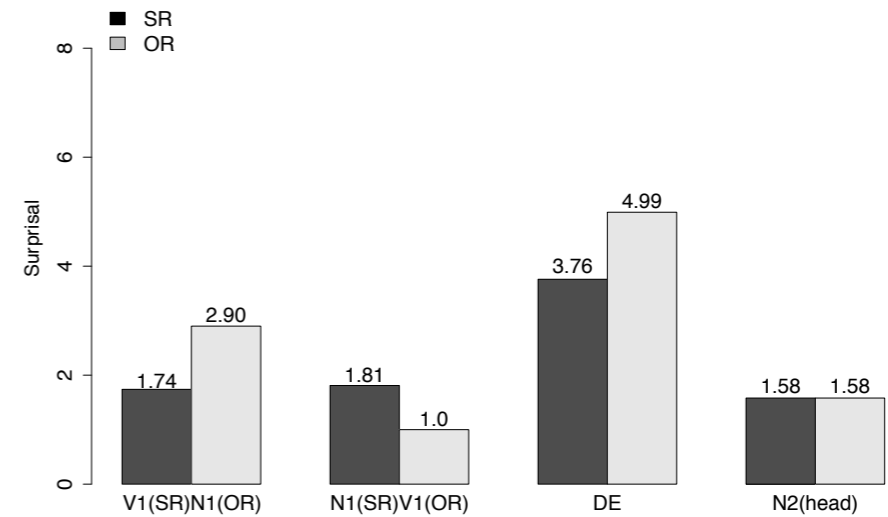


Results: Subj-modifying RC

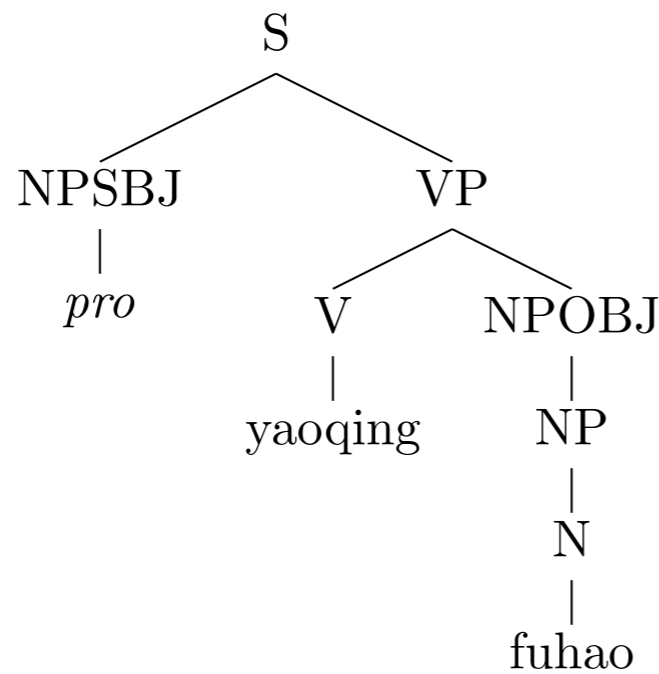
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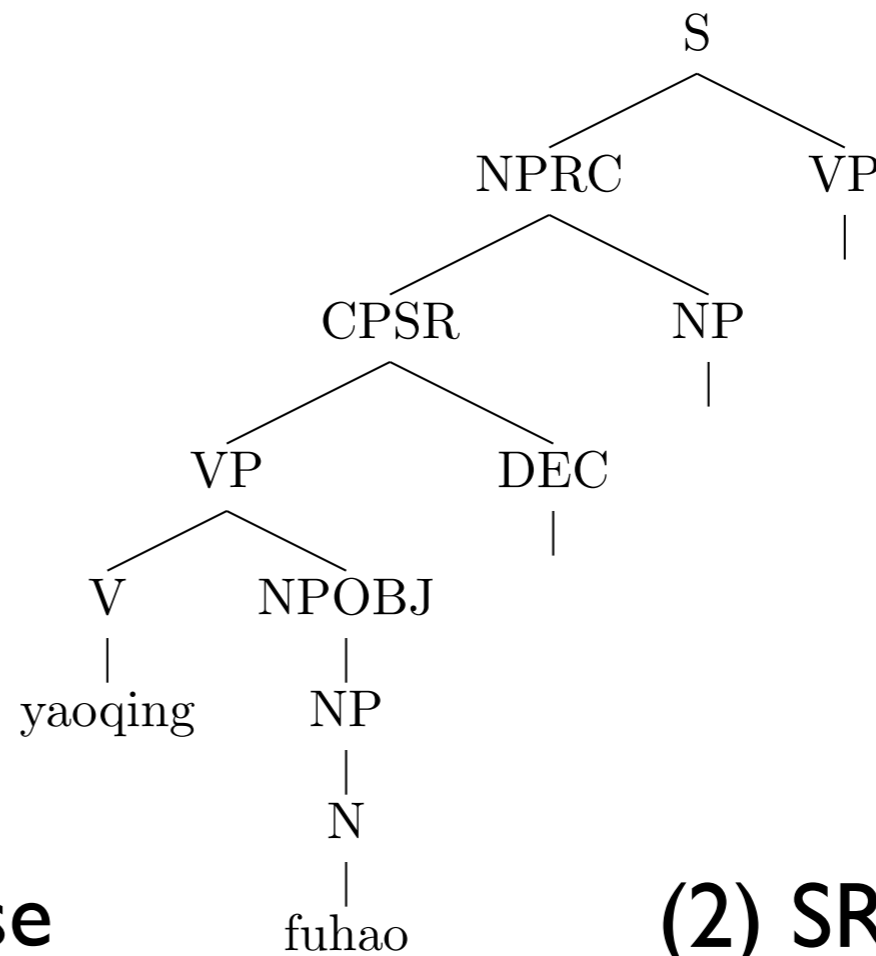
Calculate surprisals



- In **SR-S**, before “de”: V N “invite tycoon ...”

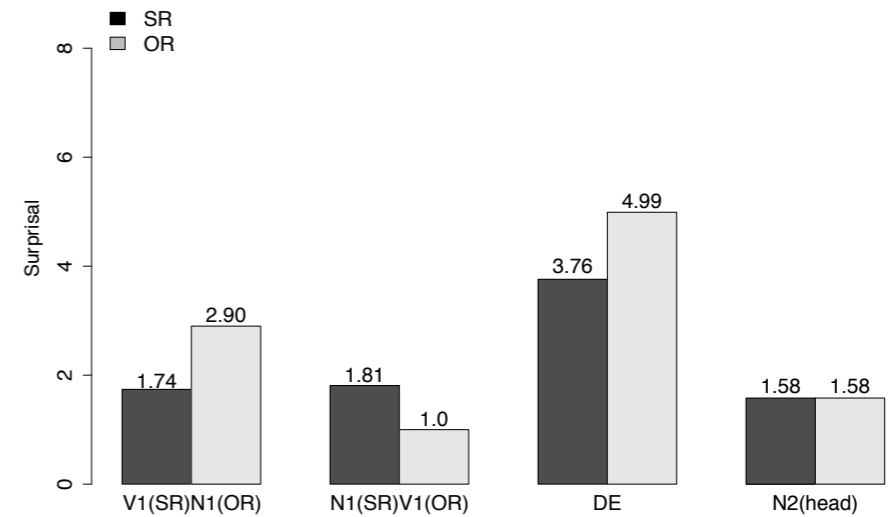


(1) *pro*-drop main clause

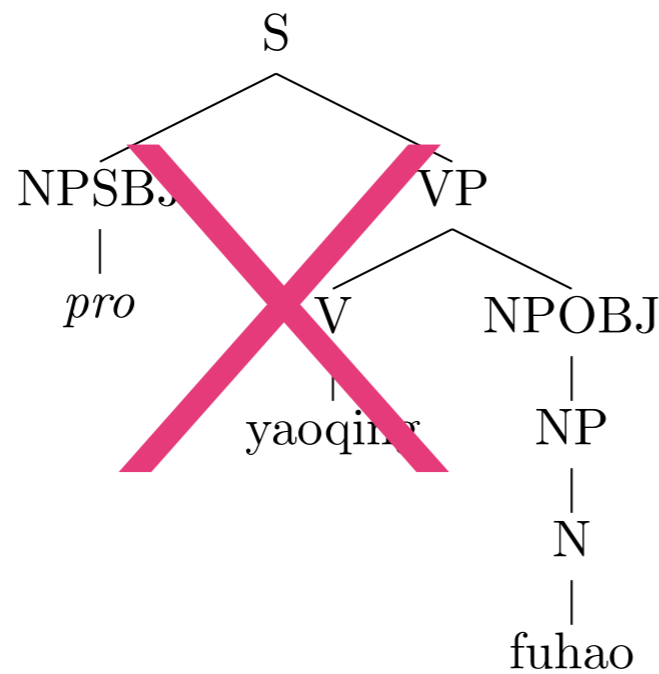


(2) SR

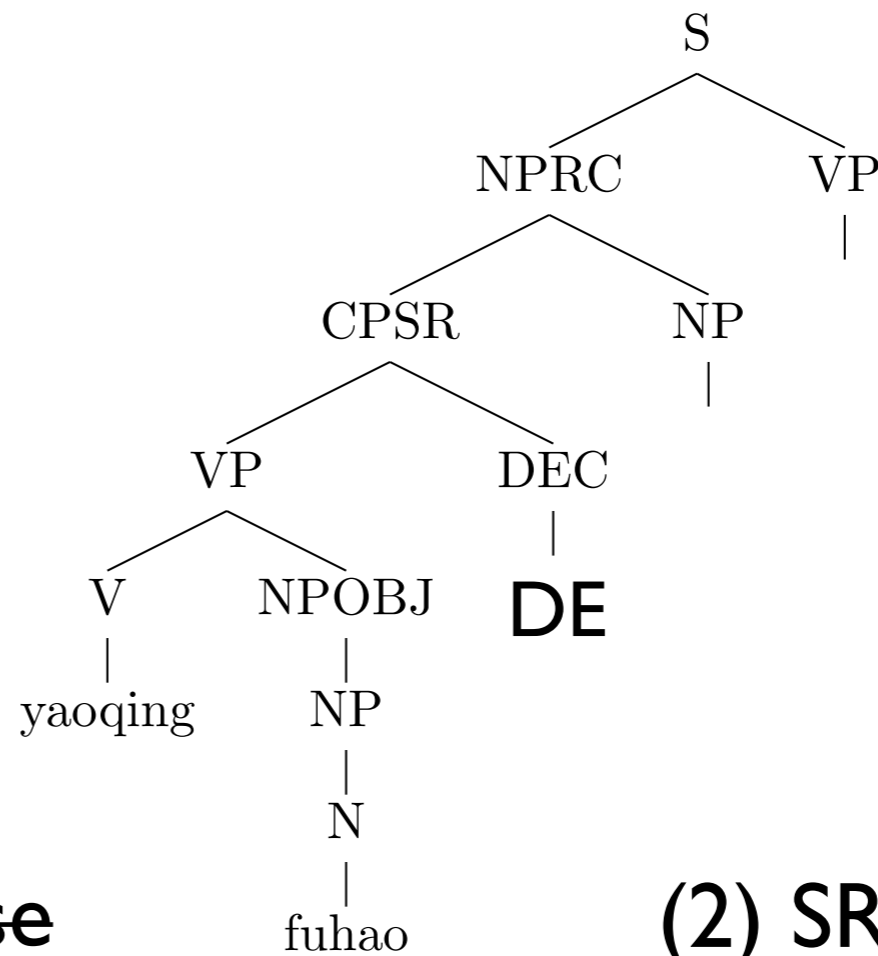
Calculate surprisals



- In **SR-S**, after “de”: V N de “invite tycoon de ...”

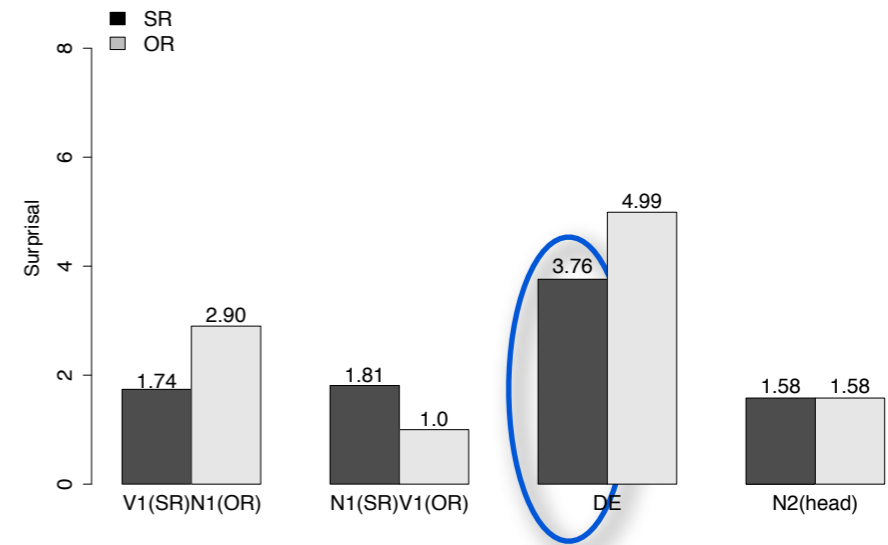


~~(1) pro-drop main clause~~

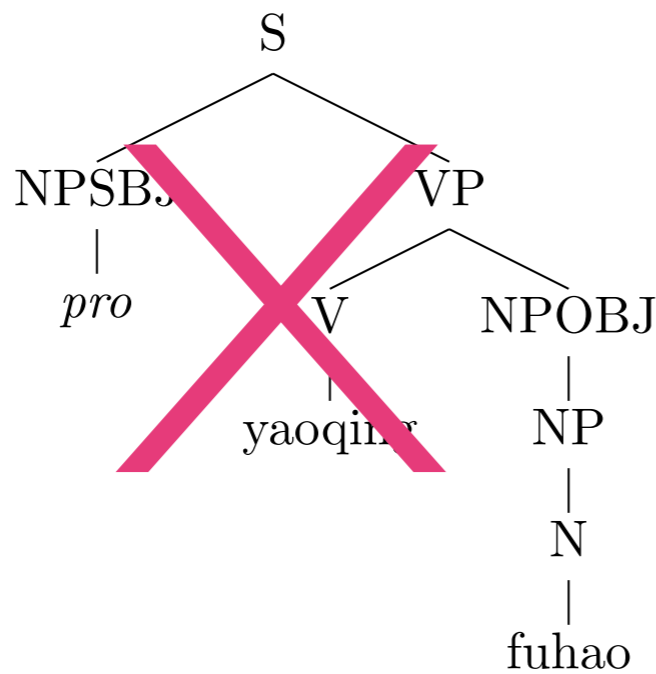


(2) SR

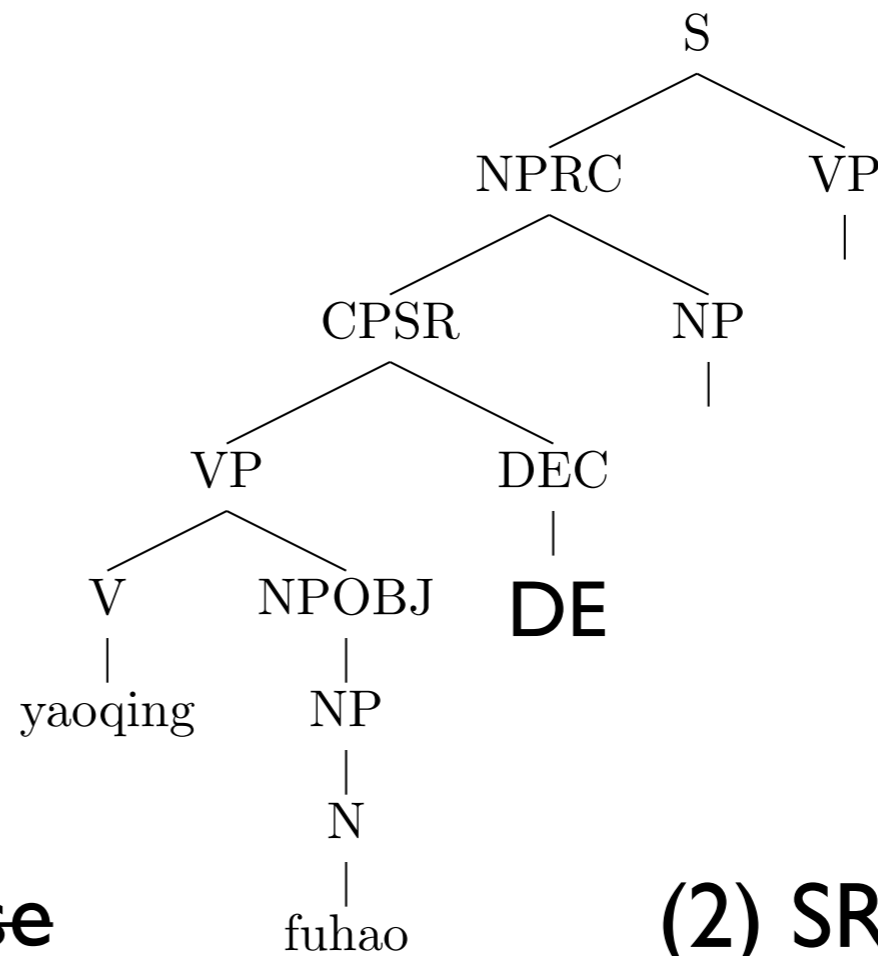
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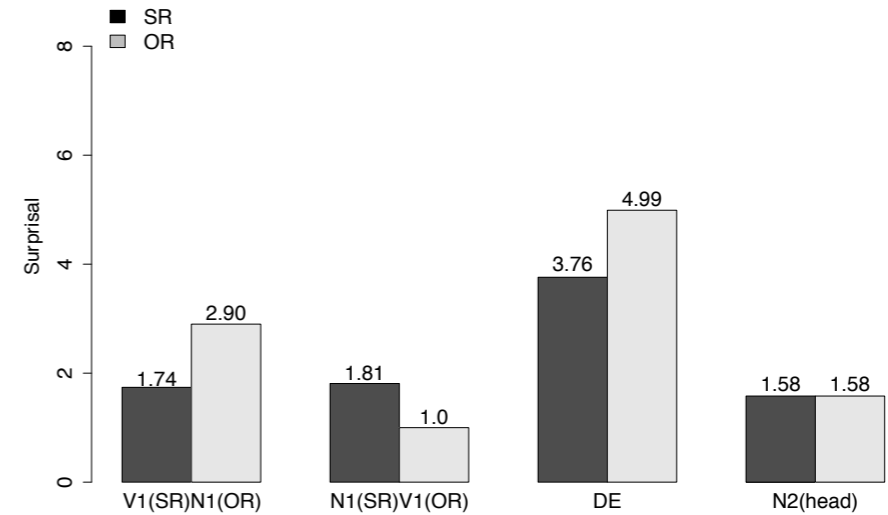


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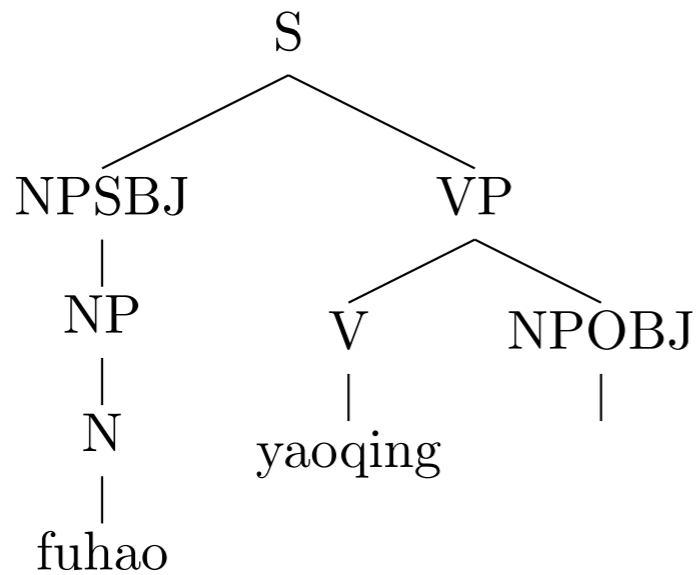


(2) SR

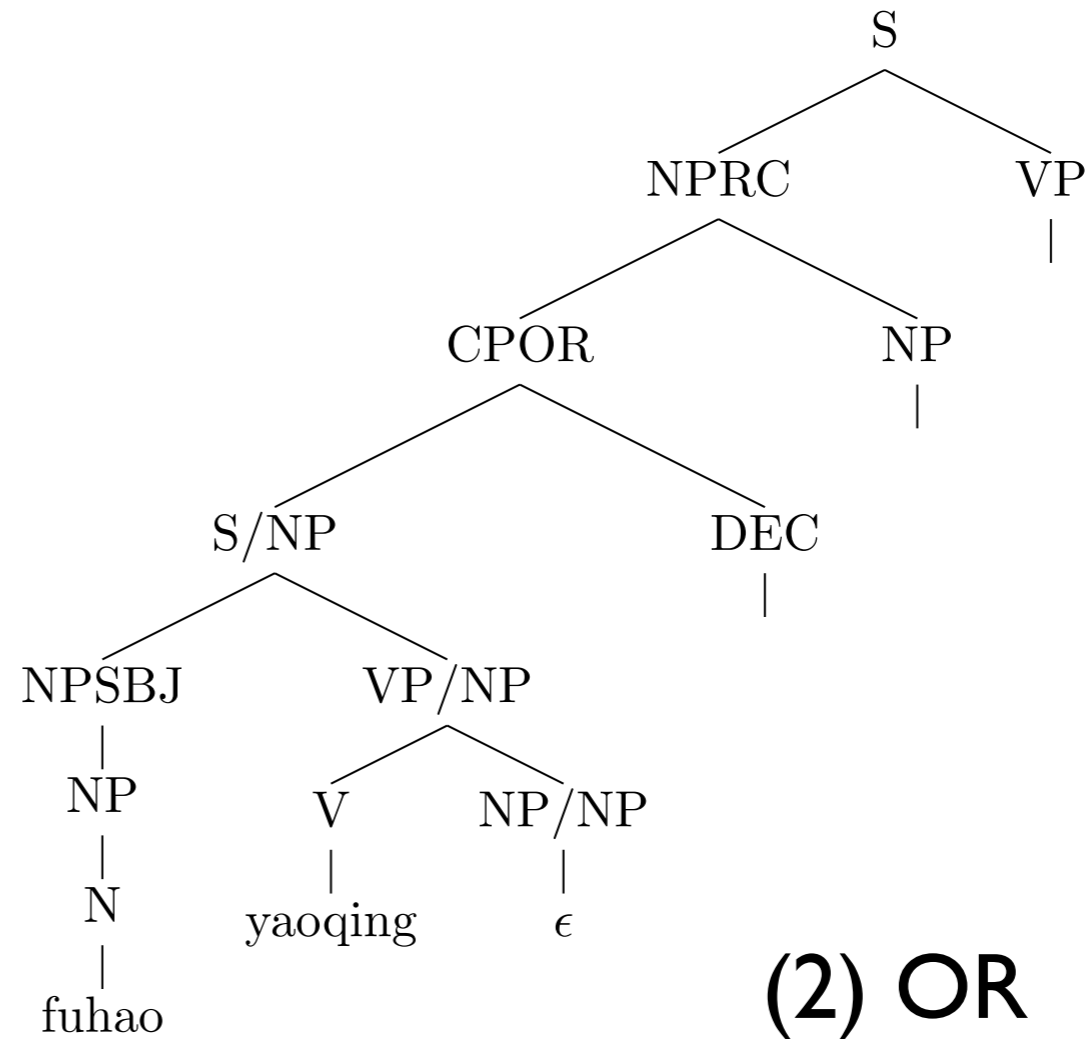
Calculate surprisals



- In **OR-S**, before “de”: NV “tycoon invite ..”

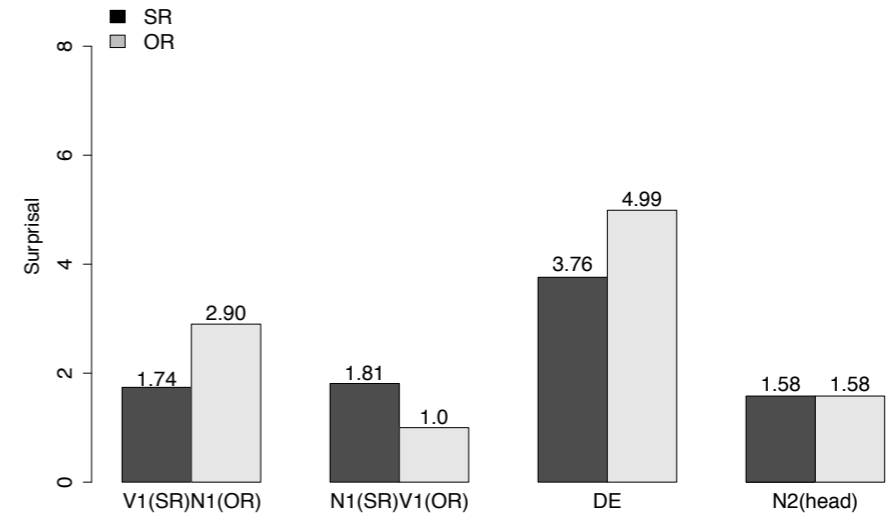


(I) main clause

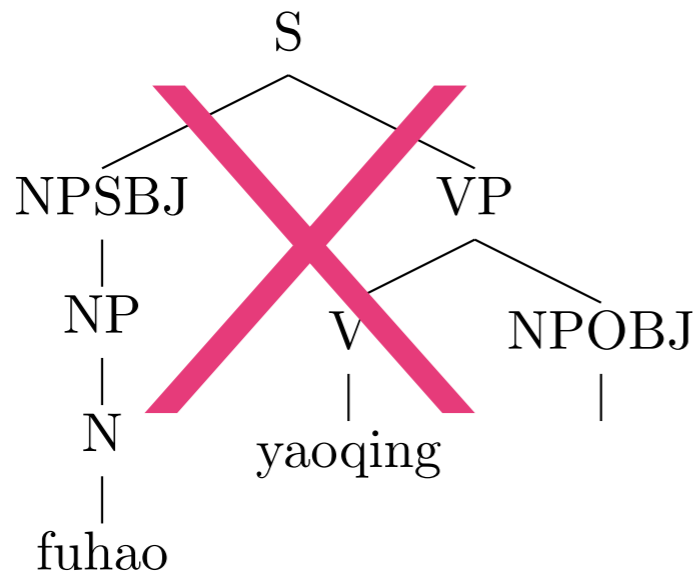


(2) OR

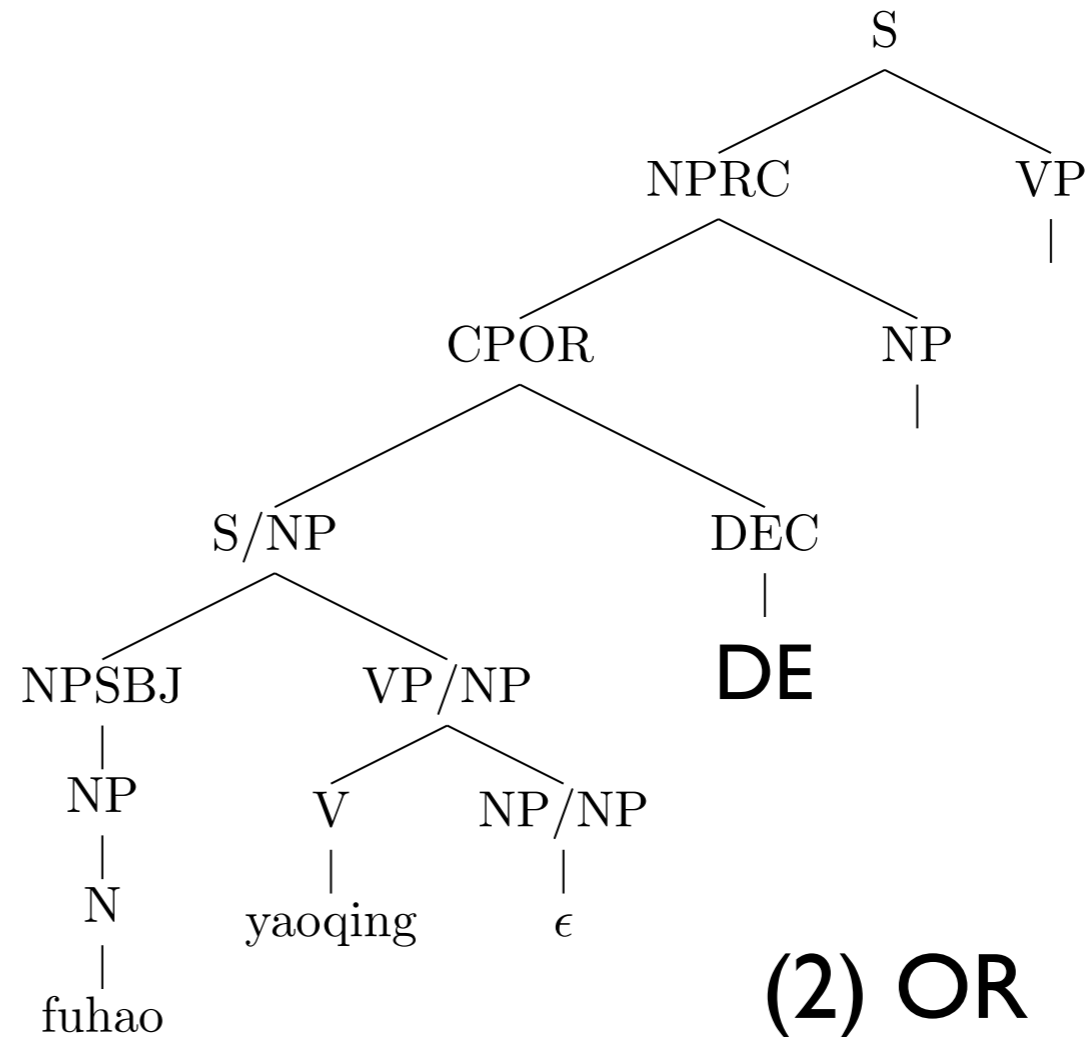
Calculate surprisals



- In **OR-S**, after “de”: N V “tycoon invite de ..”

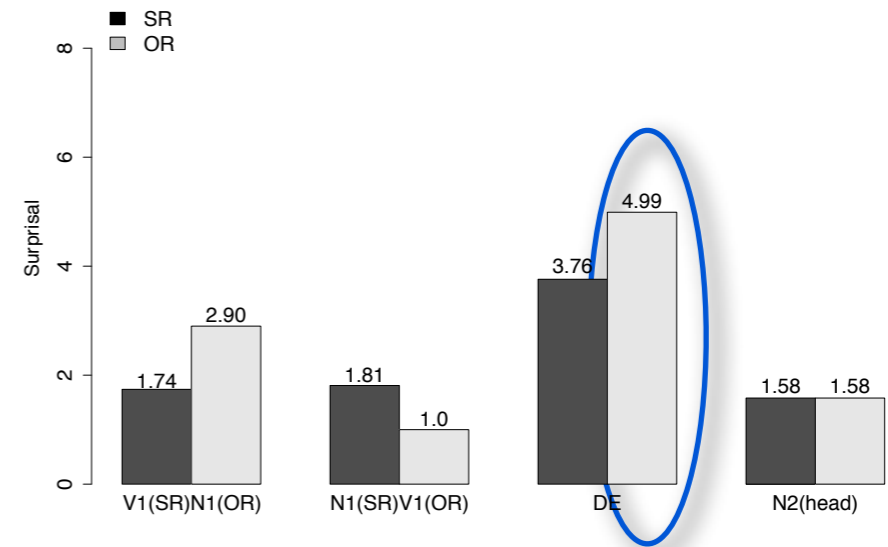


~~(1) main clause~~

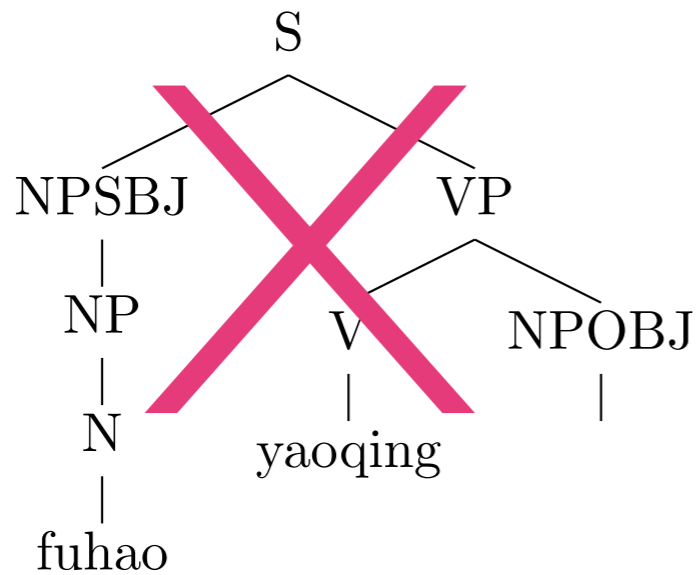


(2) OR

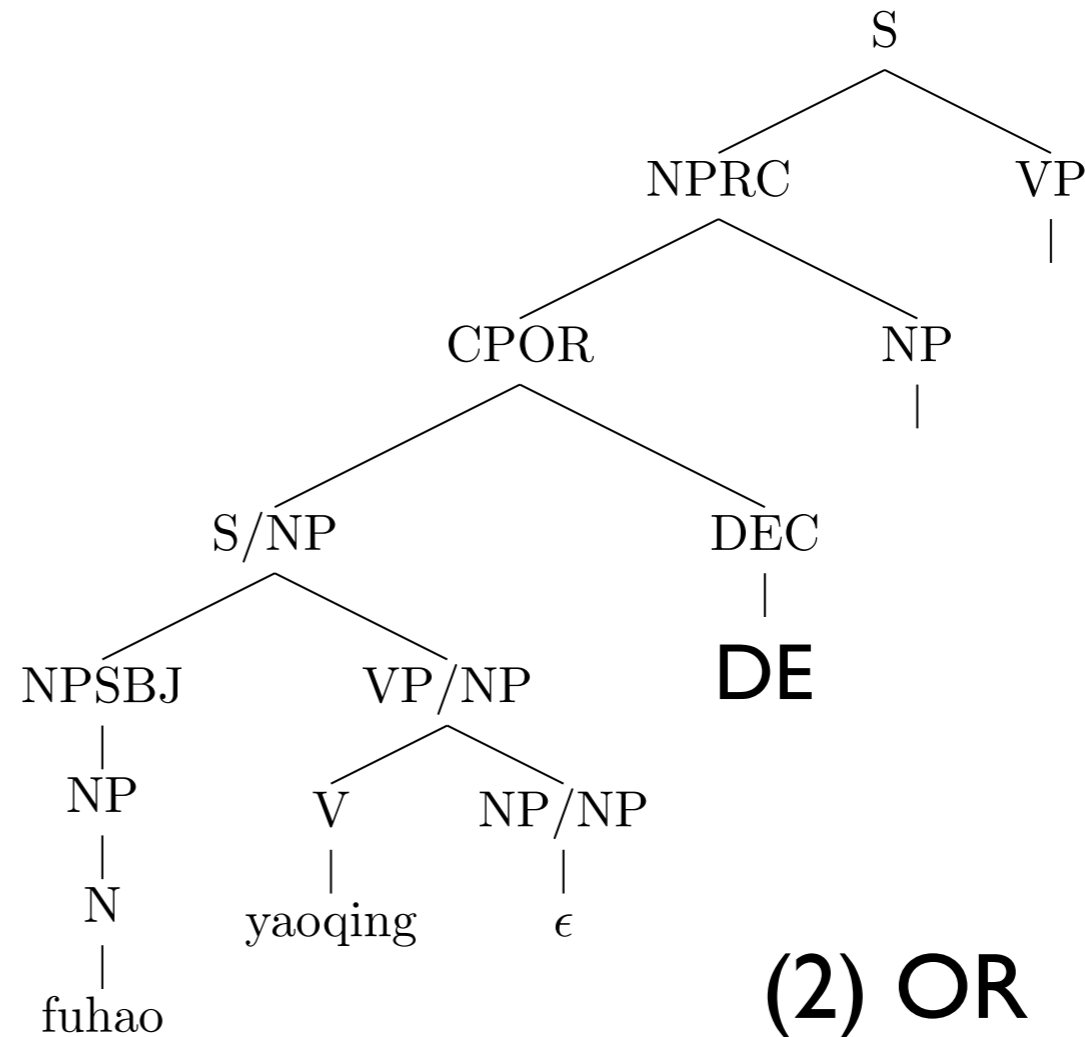
Calculate surprisals



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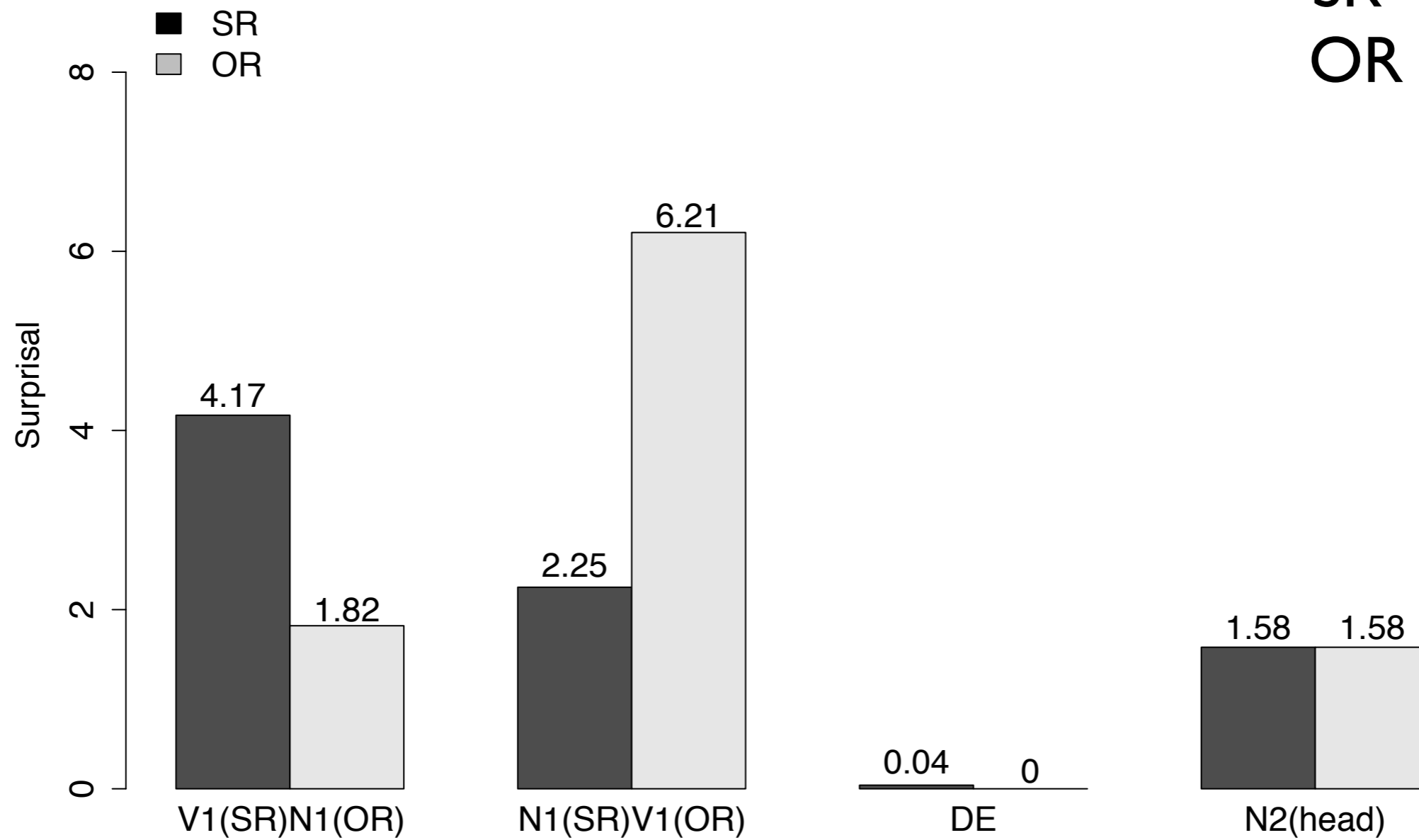
~~(1) main clause~~



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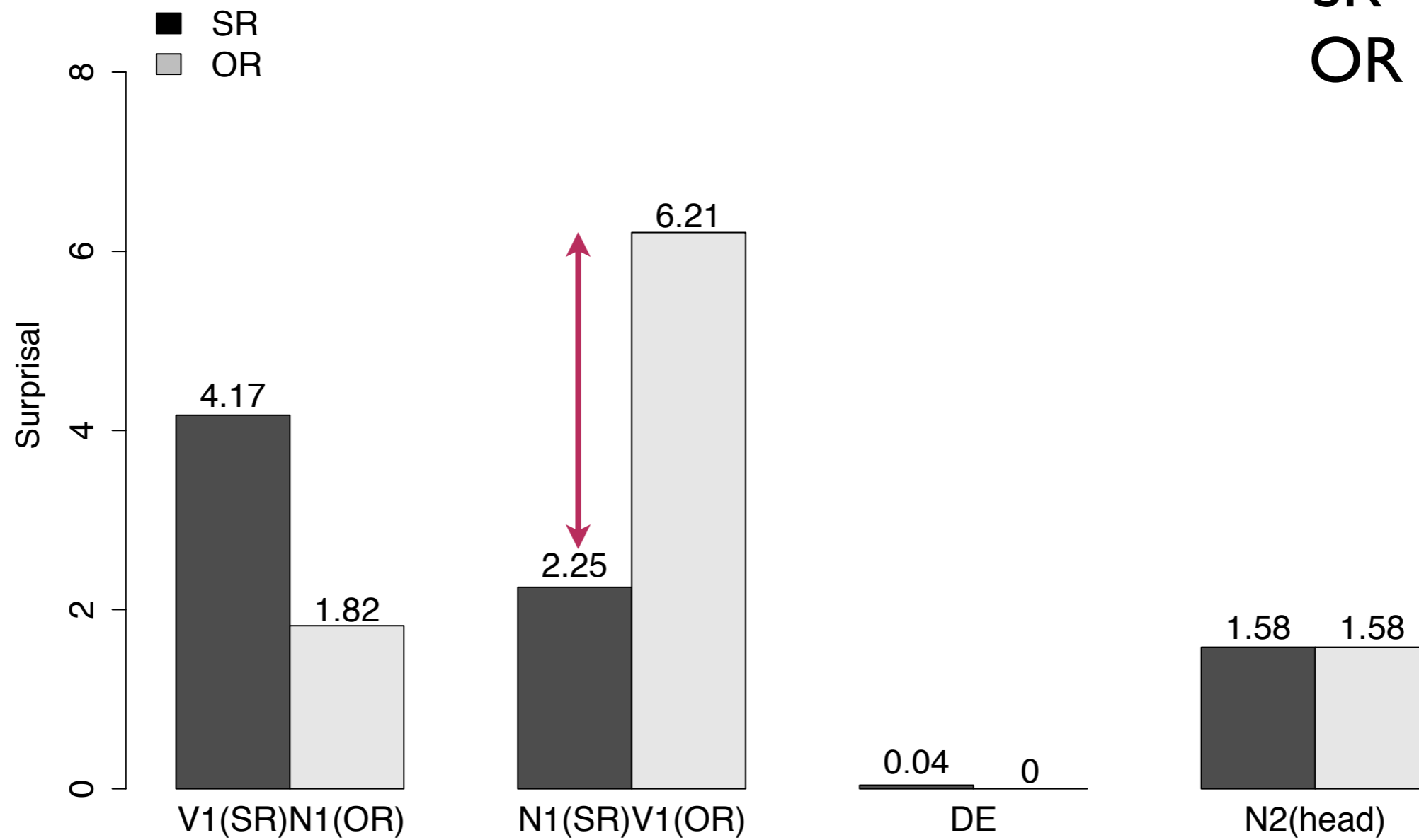
Results: Obj-modifying RC

Total:
SR 8.04
OR 9.61



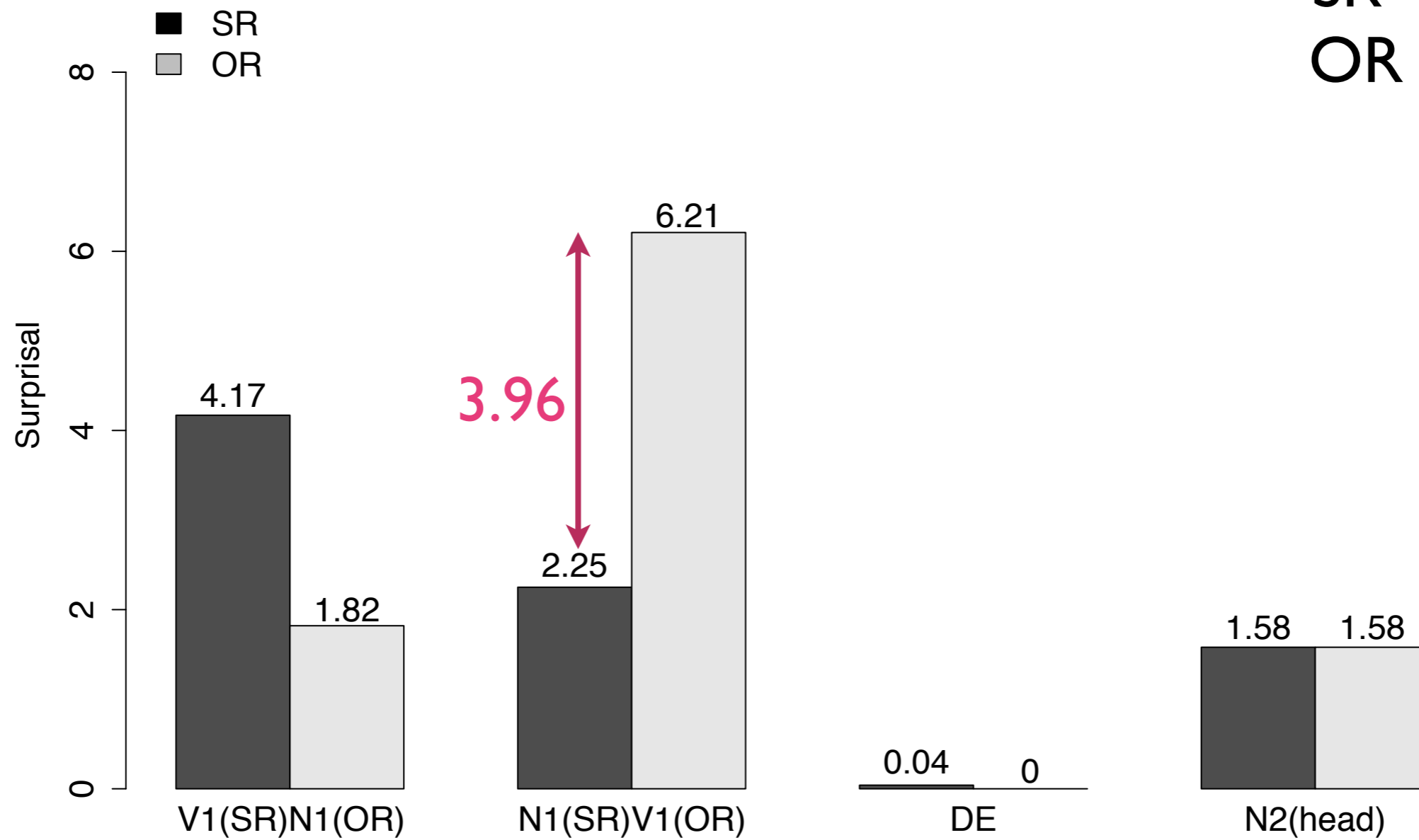
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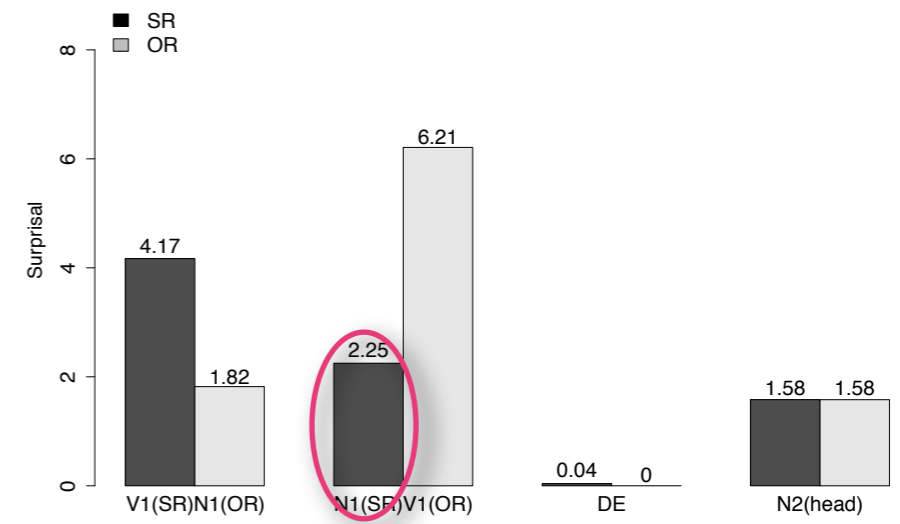


Results: Obj-modifying RC

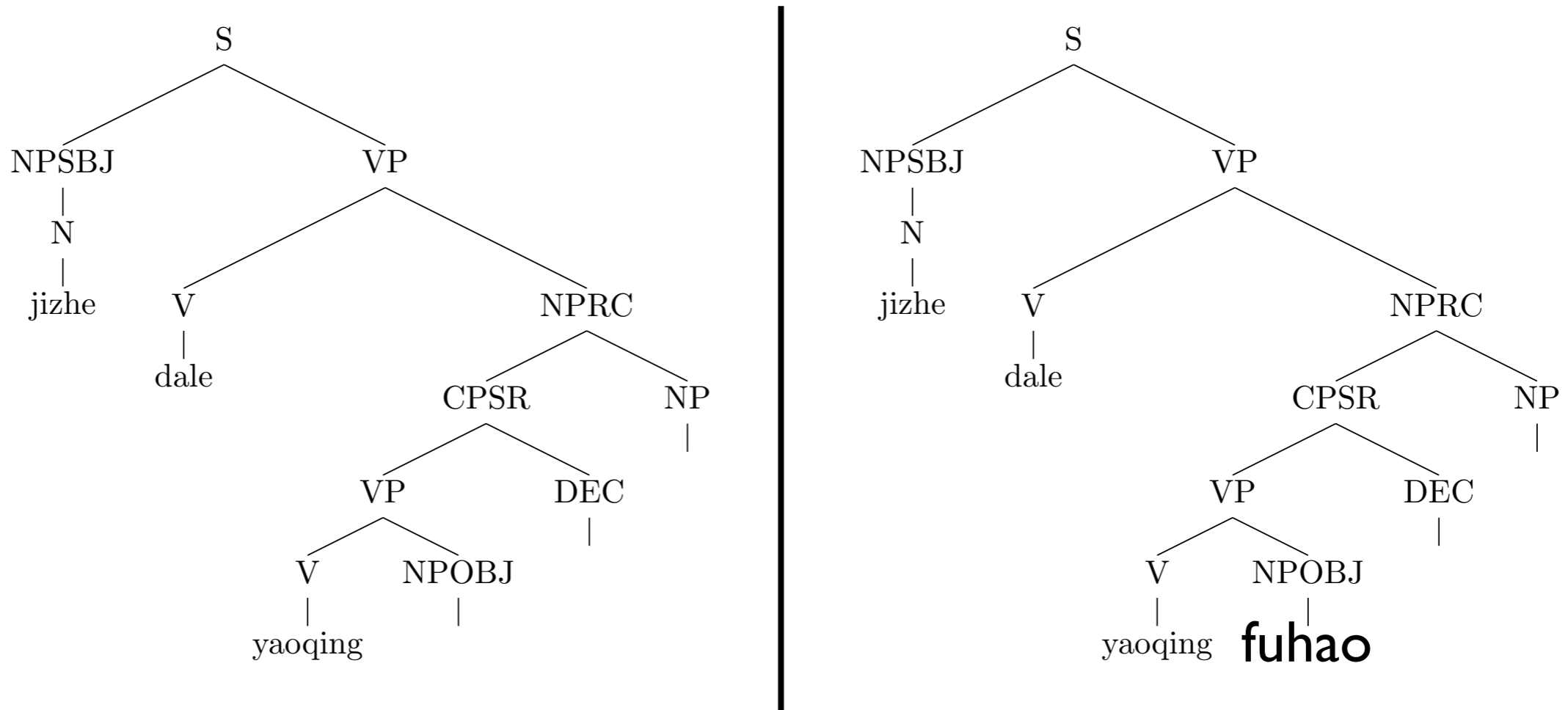
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Calculate surprisals

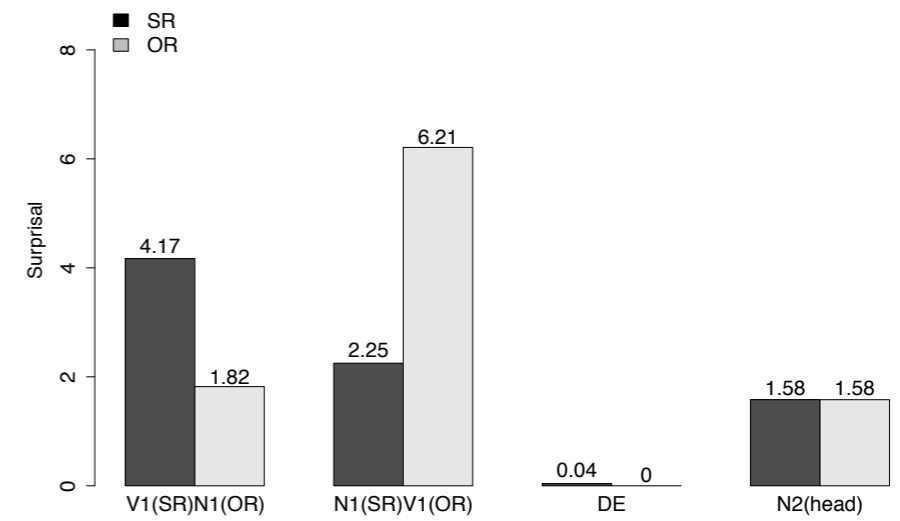


- In **SR-O**, NVVV “reporter hit invite ...” → NVVN

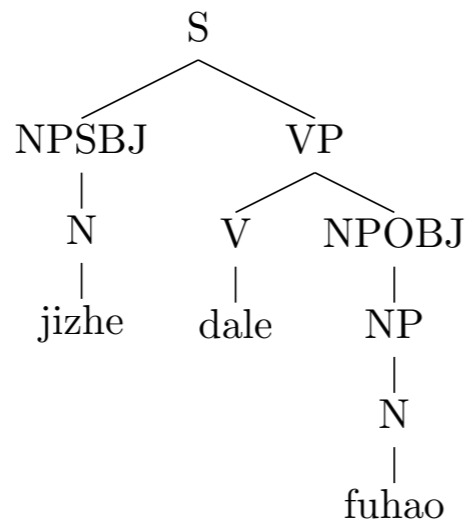


SR reading has already been recognized.

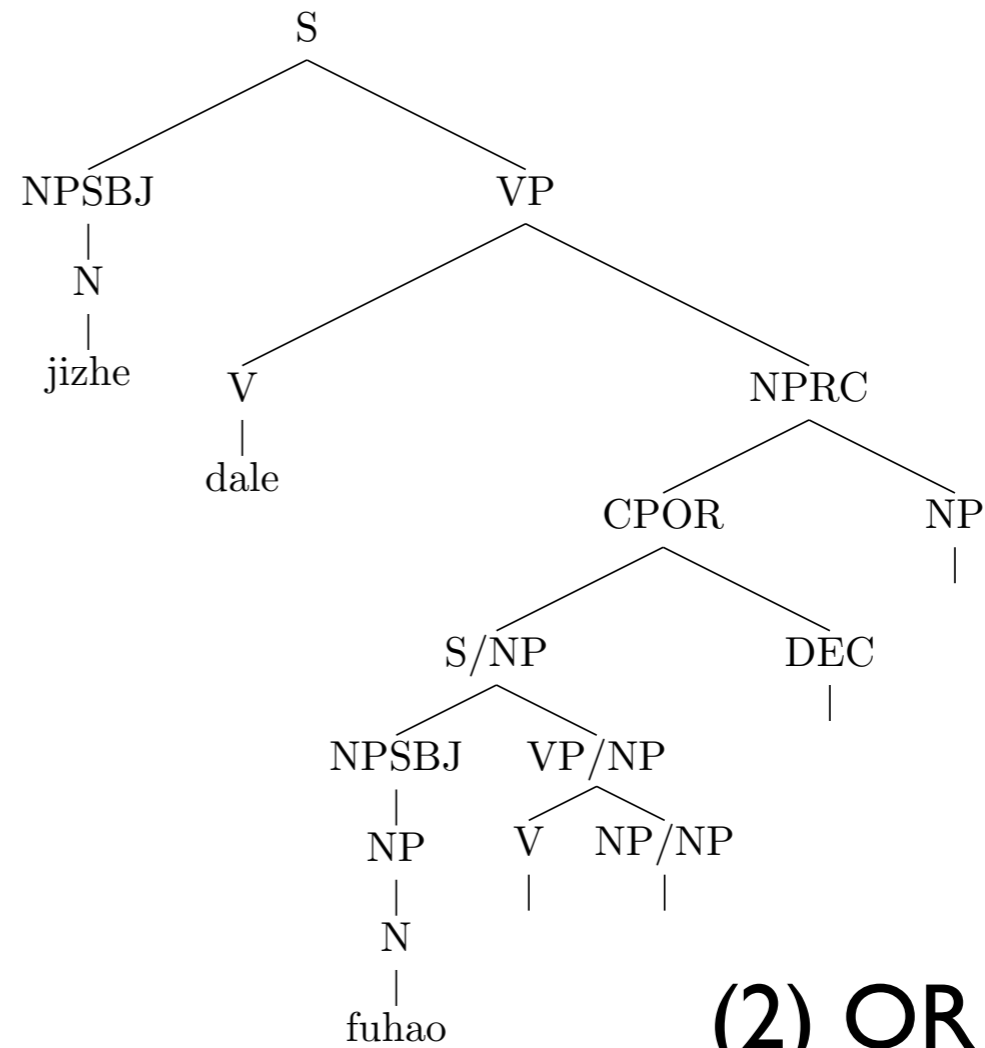
Calculate surprisals



- In **OR-O**, NV N “reporter hit tycoon ...”

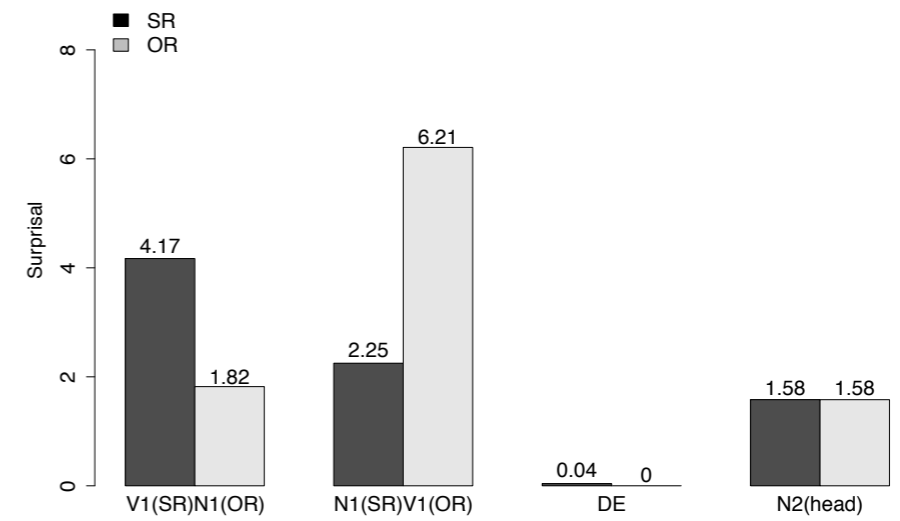


(1) main clause

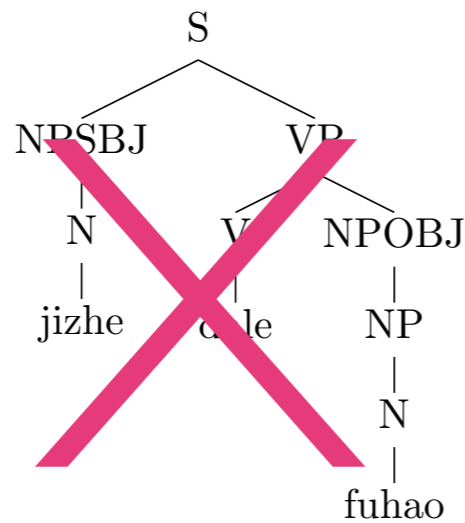


(2) OR

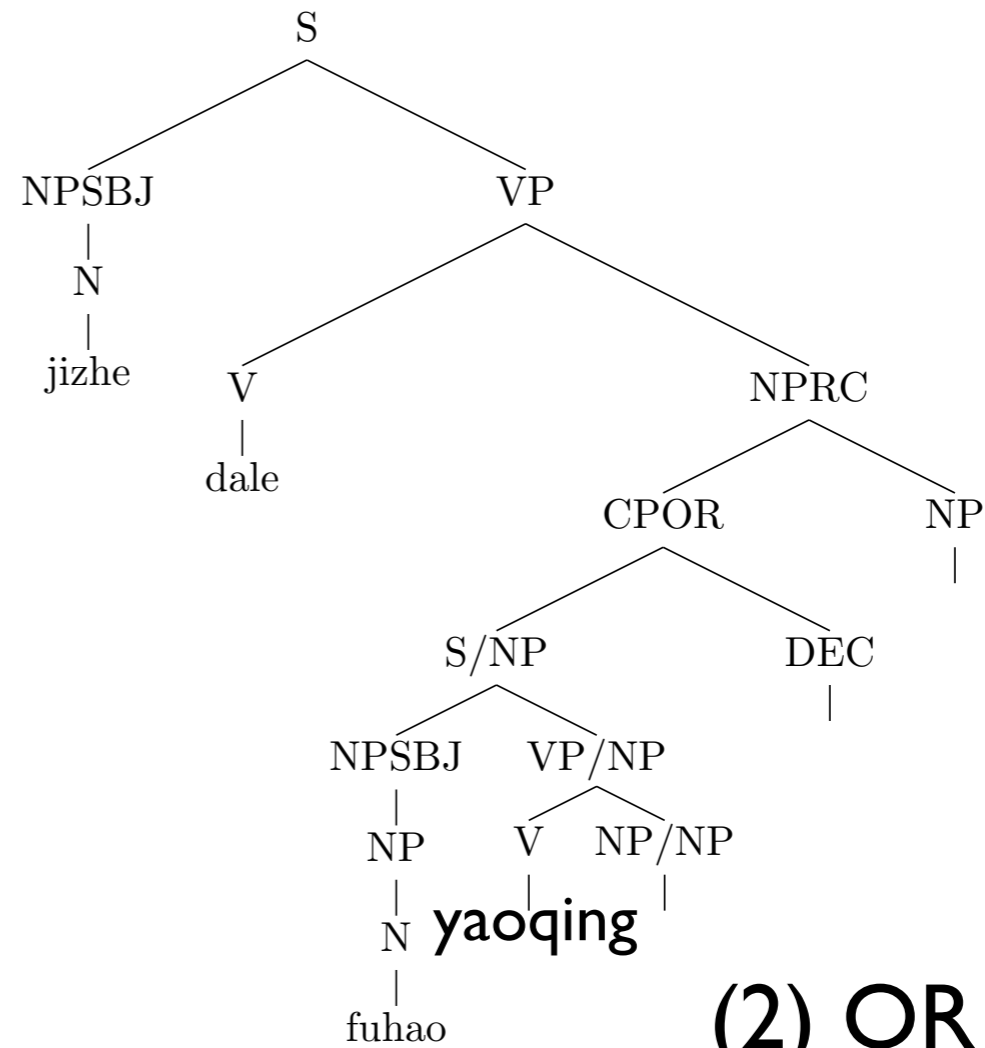
Calculate surprisals



- In **OR-O**, NV NV “reporter hit tycoon invite ...”

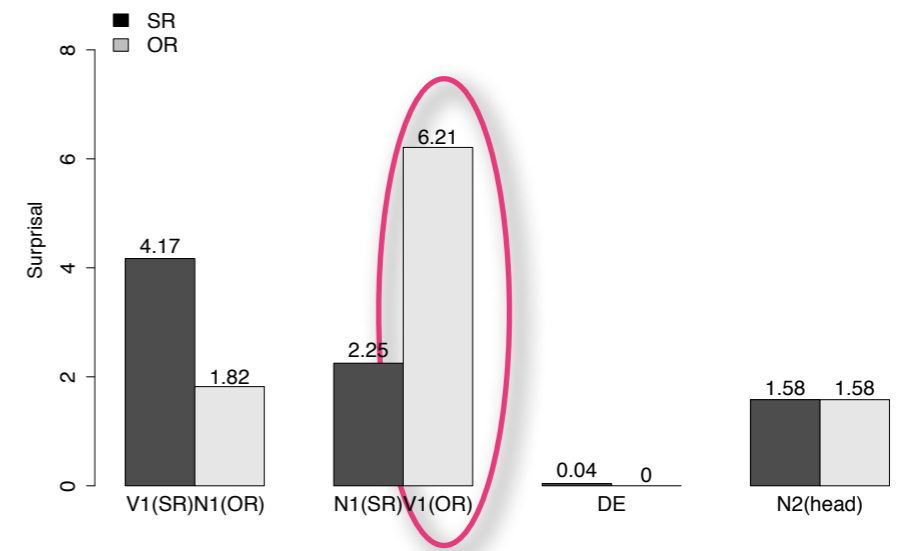


~~(1) main clause~~

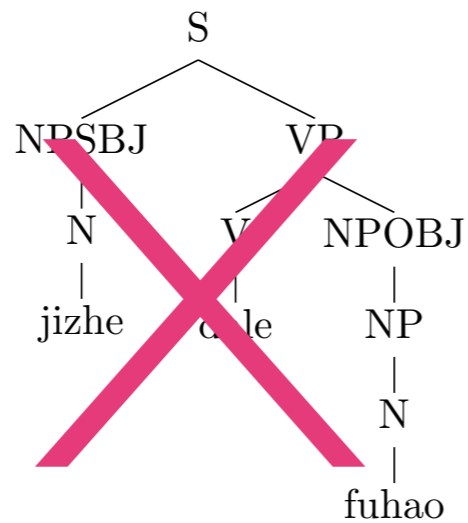


(2) OR

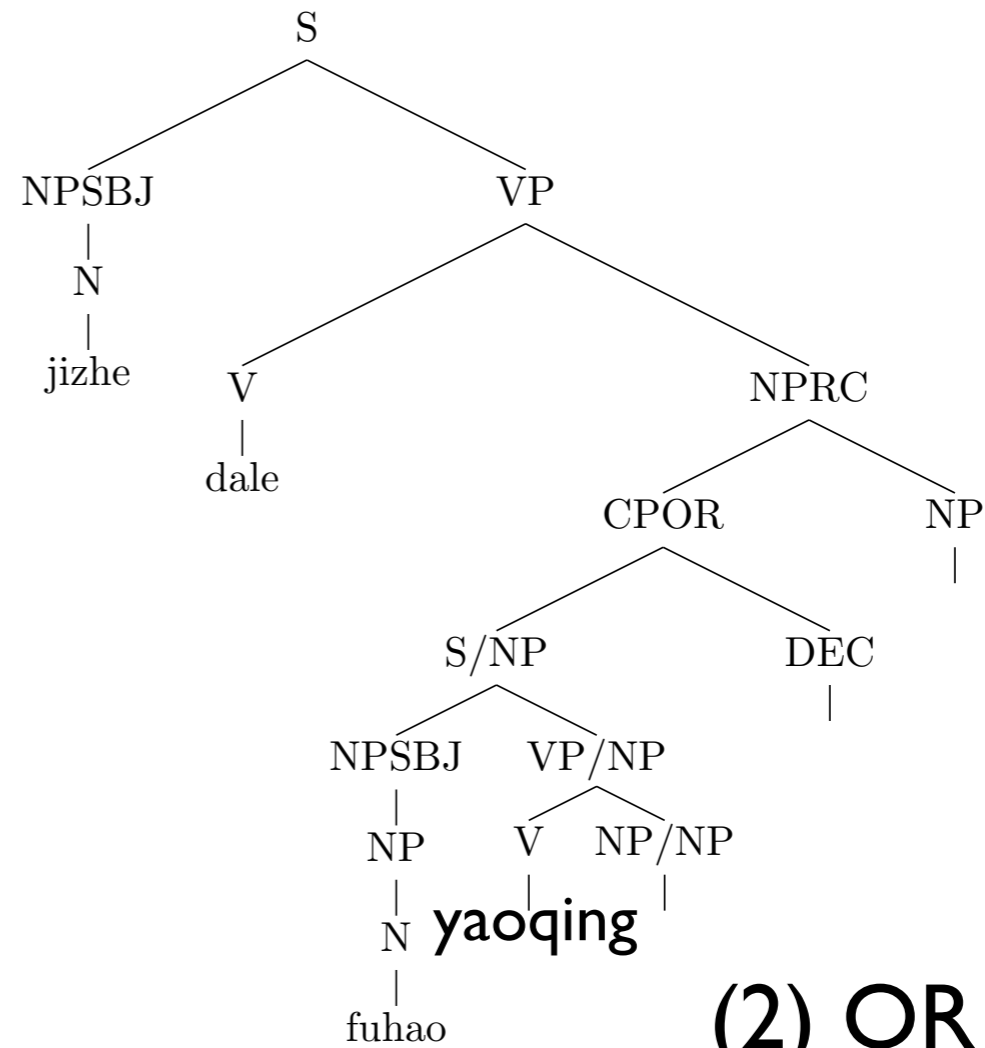
Calculate surprisals



- In **OR-O**, NV NV “reporter hit tycoon invite ...”



~~(1) main clause~~



(2) OR

Outline

- Introduction
- Chinese RC
- Modeling
- **Conclusion**

Conclusion

Conclusion

Surprisal

- uses **structural frequencies** as a reflection of readers' **linguistic experience**
- models the resolution of **incremental ambiguity**

Conclusion

Surprisal

- uses **structural frequencies** as a reflection of readers' **linguistic experience**
- models the resolution of **incremental ambiguity**

Results are consistent with recent empirical data

- argue against the memory-based account
- support the experience-based account

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Lin and Bever (2011)

No strong effect

